

PO Box 2760 ■ Omaha, NE 68103-2760

Fax: 816-243-3769

Individual Retirement Accounts (IRAs) are not eligible to add a Co-Owner. Nonresident Alien Account Owners are only eligible for Joint Tenants with Rights of Survivorship (JTWROS) accounts. If you have additional questions, please visit our Web site at www.tdameritrade.com or call us at **800-669-3900**.

1. ACCOUNT MODIFICATION

- Add a Co-Owner (please choose one account type).**
- Joint Tenants with Rights of Survivorship (JTWROS)-If a Joint owner dies, his/her interest passes to the other Account Owner.**
 - Community Property-AZ, CA, ID, LA, NM, NV, TX, WA, WI residents only (spouses only).**
 - Tenants in Common-_____% Owner ____% Co-Owner (50/50, unless otherwise noted). If a Joint owner dies, his/her interest passes to his/her estate.**
 - Tenants by the Entireties-Tenants by Entireties Form is required. If a Joint owner dies, his/her interest passes to the other Account Owner (spouses only).**
- Remove Co-Owner-Please complete Section 2. Do not sign Section 7 and please only sign in Section 8. If the account has margin and/or options privileges, please complete Sections 5 and 6. This form must be Signature-Guaranteed. We can accept mailed or faxed copies. Note: The primary Account Owner cannot be removed from the account.**

2. ACCOUNT OWNER/CURRENT ACCOUNT INFORMATION

Account Number to be modified:

Full Legal Name:

Please specify if you are:

 Unemployed Retired Homemaker Student Self-Employed

Source of income (if retired or unemployed):

Employer Name:

Occupation:

Type of Business:

Employer Street Address:

City:

State:

ZIP Code: _____



3. ACCOUNT CO-OWNER INFORMATION

Full Legal Name:

Date of Birth: (MM-DD-YYYY) _____		U.S. Social Security Number: (SSN) _____	
Are you the Account Owner's spouse?: <input type="checkbox"/> Yes <input type="checkbox"/> No		Marital Status: <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Widowed	
Home Address: (no PO Box or Mail Drop)			
City: _____		State: _____	ZIP Code: _____
Mailing Address: (if different from above)			
City: _____		State: _____	ZIP Code: _____
Primary Phone: _____	Secondary Phone: _____	Fax Number: _____	
Email Address (required for electronic delivery of your account statement and trade confirmations):			

Please specify if you are: <input type="checkbox"/> Unemployed <input type="checkbox"/> Retired <input type="checkbox"/> Homemaker <input type="checkbox"/> Student <input type="checkbox"/> Self-Employed		Source of income (if retired or unemployed):	
Employer Name: _____		Occupation: _____	
Type of Business: _____			
Employer Street Address: _____			

City: _____		State: _____	ZIP Code: _____
<input type="checkbox"/> Check here if you are NOT a U.S. citizen.		Country of citizenship: _____	
Non-U.S. citizens*: Do you hold a current U.S. immigration visa? <input type="checkbox"/> Yes <input type="checkbox"/> No		Specify visa type: _____	Expiration: _____

*Nonresident aliens must submit an original Form W-8BEN, a copy of a current passport and a copy of a bank or brokerage statement. If a U.S. address is listed, then attach a signed letter of instruction explaining why you have a U.S. address.

4. AFFILIATIONS

Check here if the Account Owner, Account Co-Owner, any member of their immediate families, personal or business associates is a senior political figure (SPF). Specify the name of the SPF, political title, relationship to Account Owner/Co-Owner and country of office.

Check here if the Account Owner or Co-Owner is a director, 10% shareholder or policy-making officer of a publicly traded company. Specify the company ticker symbol, name, address, city and state.

Check here if the Account Owner or Co-Owner is licensed or employed by a registered broker/dealer, securities exchange or member of a securities exchange. We must receive a compliance letter along with this application.

5. CURRENT MARGIN TRADING PRIVILEGES

If you are adding a Co-Owner to an account that already has margin privileges, the account will need to be re-reviewed for margin privileges. Please complete all of the information below. If any of the fields are left blank, there may be a delay in processing your request. **Note:** Duplicate like-titled margin accounts are not permitted.

If choosing or adding to a Tenants in common account, the Account Owner and Co-Owner must complete separate fields below; all other account types may combine amounts if desired. **All qualified accounts are opened as margin accounts.** A margin account allows you to borrow from TD AMERITRADE against certain securities as your collateral. A decline in the value of your securities may require you to provide additional funds, or force the sale of securities in your account. Selling short can expose you to potentially unlimited risk. To learn more about the potential benefits of margin borrowing and the associated risks involved, read the Margin Account Handbook.

Check this box to decline margin privileges and open your account as cash only.

Account Owner *(combined information for Joint accounts)*

Annual Income:	<input type="checkbox"/> \$0-\$24,999	<input type="checkbox"/> \$25,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$249,000	<input type="checkbox"/> \$250,000+
Approximate Net Worth: <i>(not including residence)</i>	<input type="checkbox"/> \$0-\$14,999	<input type="checkbox"/> \$15,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$249,999	<input type="checkbox"/> \$250,000-\$499,999
	<input type="checkbox"/> \$250,000-\$499,999	<input type="checkbox"/> \$500,000-\$999,999	<input type="checkbox"/> \$1,000,000-\$1,999,999	<input type="checkbox"/> \$2,000,000+	
Approximate Liquid Net Worth: <i>(cash, stocks, etc.)</i>	<input type="checkbox"/> \$0-\$14,999	<input type="checkbox"/> \$15,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$249,999	<input type="checkbox"/> \$250,000-\$499,999
	<input type="checkbox"/> \$250,000-\$499,999	<input type="checkbox"/> \$500,000-\$999,999	<input type="checkbox"/> \$1,000,000-\$1,999,999	<input type="checkbox"/> \$2,000,000+	

Account Co-Owner *(only for use with Tenants in Common accounts)*

Annual Income:	<input type="checkbox"/> \$0-\$24,999	<input type="checkbox"/> \$25,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$249,000	<input type="checkbox"/> \$250,000+
Approximate Net Worth: <i>(not including residence)</i>	<input type="checkbox"/> \$0-\$14,999	<input type="checkbox"/> \$15,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$249,999	<input type="checkbox"/> \$250,000-\$499,999
	<input type="checkbox"/> \$250,000-\$499,999	<input type="checkbox"/> \$500,000-\$999,999	<input type="checkbox"/> \$1,000,000-\$1,999,999	<input type="checkbox"/> \$2,000,000+	
Approximate Liquid Net Worth: <i>(cash, stocks, etc.)</i>	<input type="checkbox"/> \$0-\$14,999	<input type="checkbox"/> \$15,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$249,999	<input type="checkbox"/> \$250,000-\$499,999
	<input type="checkbox"/> \$250,000-\$499,999	<input type="checkbox"/> \$500,000-\$999,999	<input type="checkbox"/> \$1,000,000-\$1,999,999	<input type="checkbox"/> \$2,000,000+	

6. CURRENT OPTIONS TRADING PRIVILEGES

If you are adding a Co-Owner to an account that already has options trading privileges, the account will need to be re-reviewed for options trading. Please complete all of the information below. If any of the fields are left blank, there may be a delay in processing your request.

Account Owner

Number of Dependents:					
Funds Available for Options Trading:	<input type="checkbox"/> \$0-\$1,999	<input type="checkbox"/> \$2,000-\$4,999	<input type="checkbox"/> \$5,000-\$19,999	<input type="checkbox"/> \$20,000-\$49,999	<input type="checkbox"/> \$50,000+
Years of Investment Experience:	<input type="checkbox"/> Less than 1	<input type="checkbox"/> 1-2	<input type="checkbox"/> 3-5	<input type="checkbox"/> 6-9	<input type="checkbox"/> 10+
Investment Knowledge:	<input type="checkbox"/> Limited	<input type="checkbox"/> Good	<input type="checkbox"/> Extensive	<input type="checkbox"/> Professional trader	
Average Transaction Size:	<input type="checkbox"/> Under \$1,000	<input type="checkbox"/> \$1,001-\$2,000	<input type="checkbox"/> \$2,001-\$5,000	<input type="checkbox"/> Over \$5,000	
Number of Transactions Per Year:	<input type="checkbox"/> Less than 10	<input type="checkbox"/> 10-19	<input type="checkbox"/> 20-49	<input type="checkbox"/> 50+	
Types of Transactions: <i>(Check all that apply.)</i>	<input type="checkbox"/> Stocks	<input type="checkbox"/> Bonds	<input type="checkbox"/> Options		
What Are Your Options Investment Objectives? <i>(Check all that apply.)</i>	<input type="checkbox"/> Growth	<input type="checkbox"/> Speculation	<input type="checkbox"/> Income	<input type="checkbox"/> Conservation of Capital	
What Type of Activity Do You Plan to Conduct in Your Options Account?	<input type="checkbox"/> Write covered calls, write cash-secured puts			<input type="checkbox"/> Purchase options	
	<input type="checkbox"/> Create spreads*			<input type="checkbox"/> Write uncovered options*	

Account Co-Owner

Number of Dependents:					
Funds Available for Options Trading:	<input type="checkbox"/> \$0-\$1,999	<input type="checkbox"/> \$2,000-\$4,999	<input type="checkbox"/> \$5,000-\$19,999	<input type="checkbox"/> \$20,000-\$49,999	<input type="checkbox"/> \$50,000+
Years of Investment Experience:	<input type="checkbox"/> Less than 1	<input type="checkbox"/> 1-2	<input type="checkbox"/> 3-5	<input type="checkbox"/> 6-9	<input type="checkbox"/> 10+
Investment Knowledge:	<input type="checkbox"/> Limited	<input type="checkbox"/> Good	<input type="checkbox"/> Extensive	<input type="checkbox"/> Professional trader	
Average Transaction Size:	<input type="checkbox"/> Under \$1,000	<input type="checkbox"/> \$1,001-\$2,000	<input type="checkbox"/> \$2,001-\$5,000	<input type="checkbox"/> Over \$5,000	
Number of Transactions Per Year:	<input type="checkbox"/> Less than 10	<input type="checkbox"/> 10-19	<input type="checkbox"/> 20-49	<input type="checkbox"/> 50+	
Types of Transactions: <i>(Check all that apply.)</i>	<input type="checkbox"/> Stocks	<input type="checkbox"/> Bonds	<input type="checkbox"/> Options		
What Are Your Options Investment Objectives? <i>(Check all that apply.)</i>	<input type="checkbox"/> Growth	<input type="checkbox"/> Speculation	<input type="checkbox"/> Income	<input type="checkbox"/> Conservation of Capital	
What Type of Activity Do You Plan to Conduct in Your Options Account?	<input type="checkbox"/> Write covered calls, write cash-secured puts			<input type="checkbox"/> Purchase options	
	<input type="checkbox"/> Create spreads*			<input type="checkbox"/> Write uncovered options*	

*If trading spreads or uncovered options, you must have a margin account.

7. ACCOUNT AGREEMENT

Under penalties of perjury, I certify (1) that the Social Security number shown on this form is my correct taxpayer identification number, (2) that I am not subject to backup withholding and (3) that I am a U.S. person (including a resident alien); provided, however, if I am a nonresident alien as disclosed in this application, I do not certify that I am a U.S. person and I understand that I must submit the appropriate Form W-8BEN, W-8ECI, W-8IMY, or W-8EXP. If I have been notified by the IRS that I am subject to backup withholding as a result of dividend or interest underreporting, I must cross out (2) in this certification. I have received and read the "Client Agreement," which is incorporated by this reference, that will govern my account. I agree to be bound by this Client Agreement, as amended from time to time, and request a cash and margin account to be opened in the name(s) set forth below. **The "Client Agreement" applicable to this brokerage account agreement contains predispute arbitration clauses. By signing this agreement, the parties agree to be bound by the terms of the agreement including the arbitration agreement located in Section 7 of the Client Agreement.** All securities, dividends and proceeds will be held at TD AMERITRADE Clearing, Inc. unless otherwise instructed. I understand that TD AMERITRADE may obtain a current consumer or credit report to determine my eligibility, or continuing eligibility, for credit, or for other legitimate business purposes. Any decision by TD AMERITRADE to extend credit may be based on information contained in a consumer or credit report, as well as the policies of TD AMERITRADE and TD AMERITRADE Clearing, Inc. I understand that non-deposit investments purchased through TD AMERITRADE are not insured by the Federal Deposit Insurance Corporation (FDIC), are not obligations of or guaranteed by any financial institution and are subject to investment risk and loss that may exceed the principal invested. **Important information about procedures for modifying an existing account: To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. What this means for you: When you modify an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also utilize a third-party information provider for verification purposes and/or ask for a copy of your driver's license or other identifying documents.** I understand that TD AMERITRADE may relate information regarding this account, including account delinquency and voluntary closures, to consumer or credit reporting agencies. Upon my request, TD AMERITRADE shall inform me of each consumer or credit-reporting agency from which they have obtained and/or reported my consumer or credit report. TD AMERITRADE agrees to notify the consumer or credit reporting agencies if I dispute the completeness or accuracy of the information furnished by TD AMERITRADE. By my signature below, I authorize TD AMERITRADE to obtain consumer or credit reports for the name(s) set forth below. For Co-Owners who have been added to an existing options account: I have received and read the Client Agreement that will govern my account, and agree to be bound by them as currently in effect and as amended from time to time. I agree to abide by the rules of the listed options exchanges and the Options Clearing Corporation and will not violate current position and exercise limits. I am aware of the risks involved in options trading and represent that I am financially able to bear such risks and withstand options-trading losses. The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

If removing a Co-Owner, do not sign this section. Sign Section 8.

<input checked="" type="checkbox"/> Account Owner's Signature:	Date: _____
<input checked="" type="checkbox"/> Account Co-Owner's Signature:	Date: _____
<input checked="" type="checkbox"/> Account Co-Owner's Signature:	Date: _____

8. SIGNATURES FOR REMOVING ACCOUNT CO-OWNER

STOP HERE PLEASE! This document must be signed by both the Account Owner and the rescinding Account Co-Owner at the time of obtaining Signature Guarantees for each signature. Both signatures must have separate Signature Guarantee stamps, or we will be unable to process your form. A Notary Public cannot provide Signature Guarantees.

Each signature must be separately guaranteed by a U.S. commercial bank, member branch of a recognized U.S. commercial bank or member firm of a recognized U.S. stock exchange.

<input checked="" type="checkbox"/> Account Owner's Signature:	Date: _____
--	-------------

Signature Guarantee Stamp:

<input checked="" type="checkbox"/> Rescinding Account Co-Owner's Signature:	Date: _____
--	-------------

Signature Guarantee Stamp:

<input checked="" type="checkbox"/> Rescinding Account Co-Owner's Signature:	Date: _____
--	-------------

Signature Guarantee Stamp: