

This Transfer Form is used to transfer funds/securities from an existing account to another account from the same firm. If you wish to transfer funds or securities from another brokerage firm, please use the Account Transfer Form. **Note:** *Trading on an account will slow down the transfer process.*

**1. Account Information**

**Please Note:** In order to transfer from a TD AMERITRADE ESA to a non-TD AMERITRADE ESA, you will need to complete an ESA Distribution Form.

Delivering Account Number      Account Name(s) Title

Receiving ESA Number      Account Name(s) Title

**2. Assets to Transfer**

- Total transfer of all securities and all cash
  - I am requesting to have the delivering account closed after the internal transfer occurs.
- Partial transfer
  - Cash (*specify amount*) \$ \_\_\_\_\_
  - Securities (*as described below*) — This can only be done in the case of a direct transfer.

Asset Description and/or Stock Symbol

Number of Whole Shares

**3. ESA Deposit Information**

**Please indicate the type of movement**

- Regular contribution** (*Note: If no tax year is indicated, the contribution will be reported as a current-year contribution.*)  
Tax Year of this ESA contribution: \_\_\_\_\_  
*Per IRS regulations, securities cannot be used to satisfy personal contributions.*
- Direct transfer from another ESA**
- Rollover\***

\*If this transfer is being used to make a rollover contribution to your ESA, please attach a completed ESA Deposit Slip. To obtain an ESA Deposit Slip, contact us at 800-276-8746.

**4. Signature(s)**

If delivering account is a Joint account, all account owners must sign. If a debit balance is being transferred, the receiving responsible individual must sign this form to accept the debit.

Account Owner's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Co-Owner's Signature (*if applicable*): \_\_\_\_\_ Date: \_\_\_\_\_

Responsible Individual's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

