

PO Box 2760 ■ Omaha, NE 68103-2760

Fax: 816-243-3769

- This Internal Transfer Form is used to transfer funds/securities from an existing account to another account from the same firm.
- If you wish to transfer funds or securities from another brokerage firm, please use the Account Transfer Form.  
**Please note:** Account activity, such as recent deposits or trading activity, may delay the transfer process. Open orders affecting your request will be canceled.
- This form can be faxed to **816-243-3769**.

**1. ACCOUNT INFORMATION**

From: Account Number:	Primary Phone: _____
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Account Name(s)/Title: \_\_\_\_\_

**Please note:** If you are transferring from an IRA and the receiving account is not a like-titled IRA, you will need to complete the IRA Distribution Form.

To: Account Number:	Primary Phone: _____
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Account Name(s)/Title: \_\_\_\_\_

**Please note:** Please complete the IRA Funding Section if transferring to an IRA Account.

**2. TRANSFER INSTRUCTIONS (Please select one.)**

- Total Transfer of All Securities and Cash or Debit Balances.
  - I am requesting to have the delivering account closed after the internal transfer occurs.
- Partial Transfer of Securities and/or Cash or Debit Balances.
  - Cash — Specify Amount \$ \_\_\_\_\_
  - Securities — *(as described below)*
    - Please note:** Per IRS regulations, securities cannot be used to satisfy personal or employer contributions. Contributions to an IRA must be made in cash.

Asset Description and/or Stock Symbol	Number of Shares	Asset Description and/or Stock Symbol	Number of Shares

**3. IRA FUNDING**

If the receiving account is an IRA, please indicate the type of movement:

- Regular Contribution – Tax Year of this IRA contribution: \_\_\_\_\_
  - Please note:** If no tax year is indicated, the contribution will be reported as a current year contribution. **Per IRS regulations, securities cannot be used to satisfy personal or employer contributions.**
  - Traditional IRA     Roth IRA     SEP Contribution     SIMPLE Contribution
- Direct Transfer from Another IRA\*
- Direct Rollover or 60-Day Rollover\*

\* Please be advised that a transfer and a rollover are not the same thing. A rollover is the result of a distribution from another qualified plan or IRA. A transfer is the result of a direct transfer from one institution to another. If there is a doubt, contact the sending financial institution to determine if the funds were processed as the result of a distribution or a transfer.



#### 4. SIGNATURE(S)

I verify the instructions provided do not constitute a transfer for consideration (*transfer of stock, funds or any other assets for payment of goods or services*). If the delivering account is a Joint account or has multiple trustees, all Account Owners/Trustees must sign. If a debit balance is being transferred, all receiving Account Owners/Trustees must also sign this form to accept the debit.

Unless otherwise indicated, I authorize the Transferor to liquidate any nontransferable proprietary money market fund assets that are part of my account and to transfer the resulting credit balance to my account with TD AMERITRADE. I authorize the Transferor to deduct any outstanding fees due to Transferor from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees, I authorize Transferor to liquidate assets in my account to the extent necessary to satisfy that obligation. If certificates or other instruments in my account are in your physical possession, I instruct Transferor to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian to transfer them in its name for the purpose of sale, when and as directed by me. I understand that upon receiving a copy of this transfer instruction, Transferor will cancel all open orders for my account.

By my signature below, I certify that the information and instructions provided, and the elections made are true and correct. TD AMERITRADE Clearing, Inc., may justifiably rely upon the instructions and elections made herein and is authorized to deposit the funds or securities in the manner provided by this Internal Transfer Form. I release and agree to indemnify and hold harmless TD AMERITRADE, Inc., and divisions thereof from liability for any adverse consequences that may result from this transaction.

<input checked="" type="checkbox"/> Delivering Account Owner/Trustee's Signature:	Date: _____
<input checked="" type="checkbox"/> Delivering Account Co-Owner/Co-Trustee's Signature:	Date: _____

#### For debit balance acceptance only:

<input checked="" type="checkbox"/> Receiving Account Owner's Signature:	Date: _____
<input checked="" type="checkbox"/> Receiving Account Co-Owner's Signature:	Date: _____