

# TD Ameritrade 529 College Savings Plan Fund Transfer Form

Use this Form to Transfer Funds between  
TD Ameritrade 529 College Savings Plan Accounts  
If you are wanting to transfer funds from multiple Accounts with the  
same Account Owner and Beneficiary please call for assistance

## Return this Form to:

TD Ameritrade 529  
P.O. Box 85529  
Lincoln, NE 68501-5529

## Overnight Mail:

TD Ameritrade 529  
3606 South 48<sup>th</sup> Street  
Lincoln, NE 68506

If you have questions, please call us at **877.408.4644**,  
Monday–Friday, 7 a.m. to 7 p.m. (CT).

## 1. Account Owner Information

Name (First, M.I., Last): \_\_\_\_\_

Date of Birth (MM/DD/YYYY): \_\_\_\_\_

Mobile Phone Number: \_\_\_\_\_

Secondary Phone Number: \_\_\_\_\_

## 2. Transfer Funds Between TD Ameritrade 529 College Savings Plan Accounts

### Transfer Funds From:

Account Number: \_\_\_\_\_

Beneficiary Name: \_\_\_\_\_

### Transfer Funds To:

Account Number: \_\_\_\_\_

Beneficiary Name: \_\_\_\_\_

- **Important:** The beneficiaries named on the two above-referenced accounts must be the same person or members of the same family, or this form cannot be used. See the Program Disclosure Statement for the definition of "Member of the Family." Instead, a non-qualified withdrawal must be requested. The earnings portion of a non-qualified withdrawal is subject to federal and state income taxes, a 10% federal penalty tax and potential recapture of a previously claimed Nebraska state income tax deduction.

### Relationship between Beneficiaries on the Accounts

(i.e., brother, sister, first cousin, etc.): \_\_\_\_\_

### Amount to Transfer (check one)

Partial Transfer: \$ \_\_\_\_\_ or \_\_\_\_\_ %

Entire Balance

Entire Balance and Close Account

### 3. Authorization

By signing below, I certify that I am the Account Owner of the Accounts indicated on this form and that the information contained herein is true, complete, and correct.

Signature and Date Required	
<input checked="" type="checkbox"/>	_____
Signature of Account Owner, Custodian (UGMA/UTMA Accounts), or Trustee	Date
_____	
Print Name Here	
_____	
Title (if other than an individual)	



**UBT**  
Union Bank & Trust  
Program Manager

**Securities Products: Not FDIC Insured - No Bank Guarantee - May Lose Value**

Nebraska Educational Savings Plan Trust, Issuer. TD Ameritrade, Inc., sub-administrator. TD Ameritrade Investment Management, LLC, portfolio consultant. Union Bank and Trust Company renders certain distribution services to the Plan. TD Ameritrade, Inc. renders certain marketing and administrative services to the TD Ameritrade 529 College Savings Plan. TD Ameritrade Investment Management, LLC renders portfolio consulting services to Union Bank and Trust Company and the Nebraska Investment Council. TD Ameritrade, Inc., member FINRA/SIPC, and TD Ameritrade Investment Management, LLC are subsidiaries of The Charles Schwab Corporation. TD Ameritrade is a trademark jointly owned by TD Ameritrade IP Company, Inc. and the Toronto-Dominion Bank. All rights reserved. Used with Permission.