



FUTURES
AND FOREX

Additional Risk Disclosure for Futures

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Charles Schwab Futures and Forex LLC ("Schwab Futures and Forex") is providing you with this Additional Risk Disclosure because one or more of the following applies to you:

- You are under the age 21
- You are over the age 65
- You are retired
- You are unemployed
- You have less than 1 year of trading experience
- Your annual income is less than \$ 25,000
- Your net worth is less than \$ 15,000
- Your liquid net worth is less than \$15,000
- You are investing retirement funds to trade futures

The risk of loss in trading commodity futures or options contracts can be substantial and may be inappropriate for a number of reasons, including those set forth above. As such, you must carefully consider whether such trading is appropriate for you in light of your financial condition. You may sustain a total loss of your initial margin funds and any additional funds that you deposit to establish or maintain a position. In addition, market conditions may be such that your account can incur a negative balance. You should also be aware that the exercise of a long option contract or the assignment of a short option contract will result in a futures position.

Before deciding to participate in the futures markets, you should carefully consider your investment objectives, level of experience, and risk appetite. Only risk capital (money that you are able to lose without adversely affecting your standard of living) should be invested.

Schwab Futures and Forex's margin policies may require that additional funds, which may be automatically swept from your linked securities brokerage account, be provided to properly meet margin requirements. Failure to maintain the minimum margin requirement may result in the liquidation of any open positions with resultant loss to customer.

This brief statement cannot disclose all the risks and other significant aspects related to trading in the commodity futures markets. By continuing to trade in your Schwab Futures and Forex account after receiving this Additional Risk Disclosure, you acknowledge that you have a full and complete understanding of the risks involved in futures trading and have decided to trade futures despite these risks. You further acknowledge that the funds you have committed to futures trading represent risk capital and a loss of such funds will not jeopardize your lifestyle.

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

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