

Name Change Authorization



Return Options:

Electronically via Message Center:

Log in and go to Client Services > Message Center to attach the file

Regular Mail:

PO Box 2760, Omaha, NE 68103-2760

Overnight Mail:

200 South 108th Avenue
Omaha, NE 68154-2631

Fax: 866-468-6268

Use this form to notify TD Ameritrade that your name has changed due to marriage, divorce, or other reasons. This form will update your name on your TD Ameritrade brokerage account(s). If you have any questions, please call us at 800-669-3900.

Please select a reason below for the name change, and then follow the instructions for additional documentation.

- Marriage** – Enclose a copy of the marriage certificate and complete Sections 1 and 2. If you are unable to provide a copy of the marriage certificate, complete only Sections 1 and 3. Please provide a copy of a driver's license or Social Security card with the new name.
- Divorce** – Enclose a copy of the divorce decree and complete Sections 1 and 2. Divorce decree must state the name change.
- Naturalization** – Complete Sections 1 and 2. Enclose a copy of the naturalization document clearly displaying former and new name. If the document does not reflect both names, please also provide a copy of a birth certificate or passport with the former name.
- Other** – Please follow the instructions below, depending on the appropriate circumstances.
 - Official name change by court decree** – Enclose a **certified** court decree of the name change and complete Sections 1 and 2.
 - Official name change by adoption** – Enclose a **certified** decree of the adoption and complete Sections 1 and 2.

Note: Additional documentation may be required for other types of name changes, as well as a signed Letter of Instruction providing the reason for the name change.

1

Account Owner Information

List all Account Number(s) to be modified:

| | | |
|--------------------------|------------------------------|------------|
| Former Legal First Name: | Middle Name: | Last Name: |
| New Legal First Name: | Middle Name: | Last Name: |
| Primary Phone number: | U.S. Social Security Number: | |
| <input type="text"/> | <input type="text"/> | |
| Email Address: | | |
| <input type="text"/> | | |

Check here if you have Visa® or check-writing privileges on the account(s) listed above.



2

Authorization

I hereby authorize TD Ameritrade to change **my name** on the account(s) listed above as provided by the enclosed supporting documentation and/or this completed form.

Sign Here

Signature of New Name:

Date:

X

_____|_____|_____|_____|_____|_____|_____|_____|_____|_____|

Original signatures are required; electronic signatures and/or signature fonts are not authorized

3

Signature Verification

Do not sign the signature verification section unless present at a branch or in front of a notary.

Only complete this section if you are submitting a name change due to marriage and are unable to attach a copy of the marriage certificate.

STOP HERE PLEASE! This document must be signed at the time of obtaining a Notary or TD Ameritrade Branch Stamp.

Sign Here

Signature of New Name:

Date:

X

_____|_____|_____|_____|_____|_____|_____|_____|_____|_____|

Original signatures are required; electronic signatures and/or signature fonts are not authorized

Notary Stamp or TD Ameritrade Branch Stamp:

Empty box for Notary Stamp or TD Ameritrade Branch Stamp.

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

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