



FUTURES  
AND FOREX

## Futures/Forex Entity Disclosure

Charles Schwab Futures and Forex LLC  
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To whom it may concern:

In connection with Charles Schwab Futures and Forex LLC ("Schwab Futures and Forex") establishing a futures and/or forex account for \_\_\_\_\_ (the "Entity"), the undersigned (*print name*) \_\_\_\_\_ as (*title*) \_\_\_\_\_ for the Entity hereby represents and warrants the following:

1. All funds deposited in the trading account represent proprietary funds of the Entity and do not represent the interest of any other individuals or companies;
2. The Entity does not hold itself out as engaging in the business of investing capital contributions from other participants in the commodity futures and/or forex markets;
3. The Entity is not required to be registered as a commodity pool or exempt pool with the NFA;
4. The Entity is in compliance with such laws, rules, and regulations applicable to its business in each jurisdiction in which it conducts such business or maintains investment accounts;
5. The Entity is not prohibited from trading in forex, commodities, commodity futures contracts, commodity options contracts, foreign currencies, foreign currency options, or other derivatives products (collectively, "Contracts"). Accordingly, the Entity is authorized to establish an account with Schwab Futures and Forex to trade Contracts.

The Entity agrees to immediately notify Schwab Futures and Forex should any of the foregoing representations change or prove untrue.

The Entity agrees to indemnify and hold harmless Schwab Futures and Forex, its affiliates, any third-party providers, and their respective officers, directors, employees, agents, and representatives from any and all liabilities, losses, costs, judgments, penalties, claims, actions, damages, expenses, or attorneys' fees (collectively, "Losses") resulting or arising directly or indirectly in connection with the representations made by the Entity herein. This indemnity shall survive the termination of the account(s) of the Entity with Schwab Futures and Forex.

Very truly yours,

Entity: \_\_\_\_\_

By: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Investment Products: Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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