

TD Ameritrade 529 College Savings Plan Investment Change Form

Return this Form to:

TD Ameritrade 529
P.O. Box 85529
Lincoln, NE 68501-5529

Overnight Mail:

TD Ameritrade 529
3606 South 48th Street
Lincoln, NE 68506

Complete This Form to Change Investment Options
If you are wanting to make an investment change for multiple Accounts
with the same Account Owner and Beneficiary please call for assistance

If you have questions, please call us at **877.408.4644**,
Monday–Friday, 7 a.m. to 7 p.m. (CT).

1. Account Information

Account Number: _____
 Account Owner Name (First, M.I., Last): _____
 Mobile Phone Number: _____
 Beneficiary Name (First, M.I., Last): _____
 Beneficiary Date of Birth (MM/DD/YYYY): _____

2. Investment Option Selection (Check only one box: A or B)

Your account balance and future contribution(s) will be invested based on your following selection, unless directed otherwise. **NOTE:** You can only change your current investments twice per calendar year or whenever you change the designated Beneficiary.

A. Age-Based Investment Option (If you've checked box A, **select one** of the following strategies.
Age-Based Investment Options automatically adjust as the Beneficiary gets older.)

- Age-Based Core
- Age-Based Socially Aware

B. Static Investment Option(s) and Individual Fund Investment Option(s): If you've checked box B, select any of the following [must total 100%, only whole percentages allowed].

Static Investment Options

_____ % Core Aggressive	_____ % Socially Aware Aggressive
_____ % Core Growth	_____ % Socially Aware Growth
_____ % Core Moderate Growth	_____ % Socially Aware Moderate Growth
_____ % Core Moderate	_____ % Socially Aware Moderate
_____ % Core Conservative	_____ % Socially Aware Conservative

Individual Fund Investment Options

Money Market

_____ % Goldman Sachs Financial Square
Government Money Market 529

Fixed Income

_____ % Vanguard Short-Term Bond Index 529
 _____ % Vanguard Short-Term Inflation-Protected
Securities Index 529
 _____ % Vanguard Total Bond Market
Index 529
 _____ % MetWest Total Return Bond 529
 _____ % DFA World ex U.S. Government Fixed
Income 529

Domestic (U.S.) Equity

_____ % State Street S&P 500® Index 529
 _____ % Vanguard Total Stock Market Index 529

_____ % Vanguard Equity Income 529
 _____ % Vanguard Russell 1000 Value Index 529
 _____ % T. Rowe Price Large-Cap Growth 529
 _____ % Vanguard Extended Market Index 529
 _____ % Vanguard Russell 2000 Growth Index 529
 _____ % iShares Core S&P Small-Cap ETF 529

International Equity

_____ % State Street MSCI® ACWI ex USA Index 529
 _____ % Vanguard Emerging Markets Stock
Index 529

Real Estate

_____ % Vanguard Real Estate Index 529

Above percentages = 100%

3. Authorization

I hereby authorize and direct the change of Investment Option(s) to the investments I selected in Section 2.

I acknowledge that my total Account balance will be transferred to the Investment Option(s) I selected in Section 2 AND all future contributions will be invested in the Investment Option(s) selected above. I certify that all the information contained herein is true and correct and that I have read the Program Disclosure Statement and understand the rules and requirements governing investment changes.

I acknowledge, neither the principal contributed to an account, nor earnings thereon, are guaranteed or insured by the State of Nebraska, the Nebraska State Treasurer, the Nebraska Investment Council, the Trust, the Plan, any other state, any agency or instrumentality thereof, Union Bank & Trust Company, TD Ameritrade, Inc. and its affiliates, TD Ameritrade Investment Management, LLC, the FDIC, or any other entity. Investment returns are not guaranteed. Account Owners in the Plan assume all investment risk, including the potential loss of principal.

Signature and Date Required

X _____

Signature of Account Owner, Custodian (UGMA/UTMA Accounts), or Trustee

_____ Date

Print Name Here

Title (if other than an individual)



UBT
Union Bank & Trust
Program Manager

Securities Products: Not FDIC Insured - No Bank Guarantee - May Lose Value

Nebraska Educational Savings Plan Trust, Issuer. TD Ameritrade, Inc., sub-administrator. TD Ameritrade Investment Management, LLC, portfolio consultant.

Union Bank and Trust Company renders certain distribution services to the Plan. TD Ameritrade, Inc. renders certain marketing and administrative services to the TD Ameritrade 529 College Savings Plan. TD Ameritrade Investment Management, LLC renders portfolio consulting services to Union Bank and Trust Company and the Nebraska Investment Council. TD Ameritrade, Inc., member FINRA/SIPC, and TD Ameritrade Investment Management, LLC are subsidiaries of The Charles Schwab Corporation. TD Ameritrade is a trademark jointly owned by TD Ameritrade IP Company, Inc. and the Toronto-Dominion Bank. All rights reserved. Used with Permission.