

TD Ameritrade 529 College Savings Plan Beneficiary Change Form

If you are wanting to change the Beneficiary on multiple Accounts with the same Account Owner and Beneficiary please call for assistance

Return this Form to:

TD Ameritrade 529
P.O. Box 85529
Lincoln, NE 68501-5529

Overnight Mail:

TD Ameritrade 529
3606 South 48th Street
Lincoln, NE 68506

If you have questions, please call us at **877.408.4644**, Monday–Friday, 7 a.m. to 7 p.m. (CT).

1. Current Account Information

Account Number: _____

Account Owner Name (First, M.I., Last): _____

Mobile Phone Number: _____

Secondary Phone Number: _____

Name of Beneficiary (First, M.I., Last): _____

2. New Beneficiary

Legal Name (First, M.I., Last): _____

Social Security Number or Taxpayer Identification Number: _____

Date of Birth (MM/DD/YYYY): _____

Country of Citizenship: _____

Street Address (no P.O. Boxes): _____

City, State, Zip: _____

New Beneficiary's Relationship to Current Beneficiary: _____

Check this box if the new Beneficiary is not a "Member of the Family" of the current Beneficiary.

As the Account Owner, you may change the Beneficiary at any time without adverse income-tax consequences if the new Beneficiary is a Member of the Family of the current Beneficiary. If the new Beneficiary is not a Member of the Family of the current Beneficiary, the change is treated as a withdrawal that is subject to federal and state income taxes and a 10% federal penalty tax.

Member of the Family—IRS Publication 970 provides the following definition:

Members of the beneficiary's family. For these purposes, the beneficiary's family includes the beneficiary's spouse and the following other relatives of the beneficiary.

1. Son, daughter, stepchild, foster child, adopted child, or a descendant of any of them
2. Brother, sister, stepbrother, or stepsister
3. Father or mother or ancestor of either
4. Stepfather or stepmother
5. Son or daughter of a brother or sister
6. Brother or sister of father or mother
7. Son-in-law, daughter-in-law, father-in-law, mother-in-law, brother-in-law, or sister-in-law
8. The spouse of any individual listed above
9. First cousin

3. Investment Option Selection

(Your initial and future contribution(s) will be invested based on your following selection, unless directed otherwise.)

No change to current investment selections. If you are currently invested in an Age-Based Investment Option and the new Beneficiary is in a different age-band than the current Beneficiary, the Account will be invested in the age-band of the new Beneficiary.

Yes, I want to change the investment selection as follows: (Check only one box: A or B)

A. Age-Based Investment Option (If you've checked box A, **select one** of the following strategies. Age-Based Investment Options automatically adjust as the Beneficiary gets older.)

- Age-Based Core
- Age-Based Socially Aware

B. Static Investment Option(s) and Individual Fund Investment Option(s): If you've checked box B, select any of the following [must total 100%, only whole percentages allowed].

Static Investment Options

_____ % Core Aggressive

_____ % Core Growth

_____ % Core Moderate Growth

_____ % Core Moderate

_____ % Core Conservative

_____ % Socially Aware Aggressive

_____ % Socially Aware Growth

_____ % Socially Aware Moderate Growth

_____ % Socially Aware Moderate

_____ % Socially Aware Conservative

Individual Fund Investment Options

Money Market

_____ % Goldman Sachs Financial Square
Government Money Market 529

Fixed Income

_____ % Vanguard Short-Term Bond Index 529

_____ % Vanguard Short-Term Inflation-Protected
Securities Index 529

_____ % Vanguard Total Bond Market
Index 529

_____ % MetWest Total Return Bond 529

_____ % DFA World ex U.S. Government Fixed
Income 529

Domestic (U.S.) Equity

_____ % State Street S&P 500® Index 529

_____ % Vanguard Total Stock Market Index 529

_____ % Vanguard Equity Income 529

_____ % Vanguard Russell 1000 Value Index 529

_____ % T. Rowe Price Large-Cap Growth 529

_____ % Vanguard Extended Market Index 529

_____ % Vanguard Russell 2000 Growth Index 529

_____ % iShares Core S&P Small-Cap ETF 529

International Equity

_____ % State Street MSCI® ACWI ex USA Index 529

_____ % Vanguard Emerging Markets Stock
Index 529

Real Estate

_____ % Vanguard Real Estate Index 529

Above percentages = 100%

4. Authorization

By signing below, I certify that I am the Account Owner of the Account indicated on this form and that the information contained herein is true, complete, and correct. **This designation will replace the Beneficiary currently named on the Account.** Any automatic investment plans currently in place for the current Beneficiary, will continue to be made for the new Beneficiary unless the Account Owner changes the election.

If the Account is owned by an entity or trust, I certify that I am authorized to act on its behalf in making this request and that I am authorized to open an Account for the Beneficiary named in Section 2. I agree to promptly inform the Program Manager in the event that any of the foregoing certifications becomes untrue. I understand and acknowledge that the Program Manager has the right to terminate the entity's participation in the Plan if it has reasonable grounds to believe that any of the foregoing certifications is untrue.

I acknowledge that if the new Beneficiary is not a member of the family of the old Beneficiary, that adverse tax consequences can result.

I acknowledge that, neither the principal contributed to an Account, nor earnings thereon, are guaranteed or insured by the State of Nebraska, the Nebraska State Treasurer, the Nebraska Investment Council, the Trust, the Plan, any other state, any agency or instrumentality thereof, TD Ameritrade, Inc. and its affiliates, TD Ameritrade Investment Management, LLC, Union Bank & Trust Company, the FDIC, or any other entity. Investment returns are not guaranteed. Account Owners in the Plan assume all investment risk, including the potential loss of principal.

Signature and Date Required

X

Signature of Account Owner or Trustee

Date

Print Name Here

Title (if other than an individual)



UBT
Union Bank & Trust
Program Manager

Securities Products: Not FDIC Insured - No Bank Guarantee - May Lose Value

Nebraska Educational Savings Plan Trust, Issuer. TD Ameritrade, Inc., sub-administrator. TD Ameritrade Investment Management, LLC, portfolio consultant. Union Bank and Trust Company renders certain distribution services to the Plan. TD Ameritrade, Inc. renders certain marketing and administrative services to the TD Ameritrade 529 College Savings Plan. TD Ameritrade Investment Management, LLC renders portfolio consulting services to Union Bank and Trust Company and the Nebraska Investment Council. TD Ameritrade, Inc., member FINRA/SIPC, and TD Ameritrade Investment Management, LLC are subsidiaries of The Charles Schwab Corporation. TD Ameritrade is a trademark jointly owned by TD Ameritrade IP Company, Inc. and the Toronto-Dominion Bank. All rights reserved. Used with Permission.