Transfer Out - Depository Trust and Clearing (DTC)



Return Options:

Regular Mail: PO Box 2760, Omaha, NE 68103-2760

Overnight Mail: 200 South 108th Avenue Omaha, NE 68154-2631

Fax: 866-468-6268

Transfer Form		
Transfer Form	_	

For use when requesting a Charitable Gift or Outbound partial transfer to another

financial institution.

Account Information	
Account Number:	Phone Number:
Electronic Transfer Instruc	:tions
○ Charitable Gift	
	of coming donation. Consult with a tax professional on any tax issues. Please review asfer can be processed. (For all gift transfers, the date of gift is the date the transfer
If a charitable contribution, I certify that it charitable organization unless I indicate of	is bona fide. TD Ameritrade Clearing, Inc. may release my name to the receiving therwise by initialing here
○ Partial Transfer	
Other than charitable contributions, if tran completed. Partial account transfers between	nsfers are not between like-titled accounts, the third party information below mu een brokerages via an electronic system.
·	Act of 2001, TD Ameritrade needs specific information from our clients regarding coror this reason, we do ask for a specific purpose for Third Party Transfers.
Please Note: Not all Third Party Transfer requ	ests can be facilitated as the request may be outside TD Ameritrade's scope of busi
Reason for Third Party Transfer:	Relationship:
Receiving Firm Name:	DTC Number:



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Assets to Be Transferred

Only whole shares can be requested, as fractional shares cannot be processed. I understand that any trading could delay the processing of this request.

Symbol/CUSIP Number of Shares		Symbol/CUSIP	Number of Shares

Please list additional shares from transfer on a separate piece of paper

If you would like to select a specific lot to be transferred other than your current lot relief selection, please submit a signed and dated letter of instruction (LOI) along with your Partial Transfer Form. The LOI will need to include: Asset Description or Symbol, Number of Whole Shares, Date Purchased and Current Cost per Share.

*If lots are not specified prior to the settlement of the transfer, your transfer default tax lot identification method will be used. Please note, your default tax lot identification method for transfers may be different than what you selected for trades. To view available lots for the transfer, please log into your account and access the Gain/Loss Report, which can be located under My Account>Gain Loss.

I (we) authorize TD Ameritrade Clearing, Inc. to transfer the above-listed security as indicated. I understand that not all securities are eligible for direct registration transfer.



Signature and Signature Guarantee Stamps

Please sign, print, and mail or fax this form to initiate the transfer request.

	Account Owner's Signature:	Account Co-Owner's Signature:	
Sign Here	X	X	
	Date:	Date:	

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

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