



# Letter of Explanation for U.S. Mailing Address/U.S. Phone Number Attachment to Form W-8

PO Box 2760 ■ Omaha, NE 68103-2760  
Fax: 866-468-6268

Account # \_\_\_\_\_

First Name:	Middle Name:	Last Name:
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**Note: The mailing address on your account may not belong to a Registered Investment or Financial Advisor.**

**Please complete one of the three sections below.** If you claimed a treaty on your W-8BEN, you will also need to certify your claim in the Treaty section below.

I, \_\_\_\_\_, **certify that I am a non-U.S. person as defined for U.S. tax purposes. I have a U.S. address/U.S. phone number for one of the below reason(s):**

<p><b>Residing in the U.S.</b></p> <p>I certify that I am one of the following:</p> <p><input type="checkbox"/> (1) A student at a U.S. educational institution and hold the appropriate visa.</p> <p><input type="checkbox"/> (2) A teacher, trainee, or intern at a U.S. educational institution or a participant in an educational or cultural exchange visitor program and hold the appropriate visa.</p> <p><input type="checkbox"/> (3) A foreign individual assigned to a diplomatic post or a position in a consulate, embassy, or international organization in the U.S.</p> <p><input type="checkbox"/> (4) A spouse -or- unmarried child of one of the persons described in (1), (2), or (3).</p>
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<p><b>Temporarily in the U.S.</b></p> <p>I certify that I <b>do not</b> qualify as a U.S. resident under the substantial presence test. Based on the substantial presence test calculation for the current year and the previous two years, I have been in the U.S. for _____ days.</p>
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<p><b>Closer Connection to a Foreign Country</b></p> <p>I certify that I meet the closer connection exception to the substantial presence test because I meet all of the following criteria:</p> <ul style="list-style-type: none"> <li>• I have been present in the United States for fewer than 183 days in the current year;</li> <li>• I maintain a tax home in the country of _____ during the current year; and</li> <li>• I have a closer connection during the current year to the above foreign (non-U.S.) country because _____</li> </ul> <p>_____</p> <p>_____</p>
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**Claiming a Treaty**

I certify that I am treated as a resident of \_\_\_\_\_ for purposes of the income tax treaty between it and the U.S. and am not treated as a U.S. resident or citizen for purposes of that income tax treaty.

**I have provided, or will provide, TD Ameritrade, Inc. with any other documentation requested to support my claim of non-U.S. status and/or tax treaty benefits and will refresh such documentation upon request. I also acknowledge that: (1) I must advise TD Ameritrade, Inc. if I become a U.S. citizen, U.S. resident, or other U.S. person for U.S. tax law purposes; and (2) such change in my U.S. tax status will impact on the U.S. tax treatment of my income from U.S. investments, which are held by TD Ameritrade, Inc.**

**Note: Each account owner must complete and sign a separate form.**

Printed Name:	Title:
 Signature:	Date:

Investment Products: Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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