U.S. Entity Account Checklist

To assist with the account opening process, please send us the following materials. Additional support documents may be required.

For Help or More Information, Please call:
- English: 800-276-8746 (24 hours/7 days a week)
- Spanish: 888-376-4684 (8A-4P CST, 7 days a week)
- Mandarin: 877-888-1238 (M-F 5A-12A CST, S-S 8A-6P)

If calling from outside of the U.S., please visit us online at https://tdameritrade.com/contact-us-for-assistance

Limited Liability Company, Sole Member LLC, Investment Club, Partnership, Limited Partnership

LLC, Investment Club, Partnership Application (TDA 1186)
- Type of business
  - Provide the Industry of Occupation code provided on the last page of the application.
  - Also provide a brief description of how your entity generates income and is funded.

- Is this a Pooled Asset Vehicle?
  - Pooled Asset Vehicles combine investor capital which is deployed according to a predetermined investment strategy.

- Personal information for all general partners/authorized agents (At least one Authorized Agent is required)
  - A copy of the following documents for each authorized party that is NOT a U.S. Citizen/ U.S. Permanent Resident, or U.S. Resident Alien status:
    * Copy of current, non-expired Passport
    * Proof of residence
      - A bank or utility (gas, water, electricity) statement.
      - The document must be in English characters or a certified English translation.
      - We cannot accept a credit card statement, TV/Satellite bill, cell phone bill, or landline phone bill as proof of residence.

- All Members/Partners must sign in the Member/Partner Signatures section.
  - Entity Authorized Agent Form (TDA 1187) if an Entity is a member/partner

- All Authorized parties listed in Partner/Authorized Agent Only section must sign the Account Agreement section

- If signing “For Benefit Of” an entity or IRA, add these signatures in the Member/Partner Signatures section.

- If a single member LLC, please check the box on page 1 in the Type of Account section

- A Control Person is required in addition to the Partner/Authorized Agents, even if this is the same person.

Ownership Structure Form (TDA 100935)
- For entities listed as Beneficial Owners
Corporations, Nonprofit/Charitable Organizations, Non-Incorporated Organizations

- **Business Account Application (TDA 1086)**
  - Type of business
    - Provide the Industry of Occupation code provided on the last page of the application.
    - Also provide a brief description of how your entity generates income and is funded.
  - Is this a Pooled Asset Vehicle?
    - Pooled Asset Vehicle is an organization that combines capital from many investors to deploy it according to a particular investment strategy, such as a mutual fund or hedge fund
  - Personal information for all general partners/authorized agents (At least one Authorized Agent is required)
    - A copy of the following documents for each authorized party that is NOT a U.S. Citizen/ U.S. Permanent Resident, or U.S. Resident Alien status:
      - Copy of current, non-expired Passport
      - Proof of residence
        - A bank or utility (gas, water, electricity) statement.
        - The document must be in English characters or a certified English translation.
        - We cannot accept a credit card statement, TV/Satellite bill, cell phone bill, or landline phone bill as proof of residence.
  - All Officers, including the Secretary must sign in the **Account Agreement** section. If there is not a separate Secretary, another Officer must sign in this spot.
  - A Control Person is required in addition to the Officers, even if this is the same person.

- **Ownership Structure Form (TDA 100935)**
  - For entities listed as Beneficial Owners

Sole Proprietorship (NOT a Limited Liability Company)

- **Business Account Application (TDA 1086)**
  - Type of business
    - Provide the Industry of Occupation code provided on the last page of the application.
    - Also provide a brief description of how your entity generates income and is funded.
  - Personal information for Sole Proprietor in **President or Sole Proprietor Information**
  - Sole Proprietor must sign the **Account Agreement** section
  - Proof of Filing of Assumed Name must be provided

Where to Send the Forms:
  - Fax: 866-468-6268
  - Mail: 200 S 108 Ave, Omaha NE 68154-2631, USA
  - For E-mail delivery of forms, please call 800-276-8746 for upload instructions

After You Send Us the Forms:
  - Please check your email for any possible updates or additional steps that may be needed
Once your account has been opened, you will receive a four-digit personal identification number (PIN) and your account number via regular mail. You will also be able to access your account by calling and speaking with a representative. If an email address is provided, you will also receive notice of your account opening via email.