



SEC Rule 15c2-11 Restricted Securities

On **September 28, 2021**, [new amendments](#) to Rule 15c-211 under the Securities Exchange Act of 1934 go into effect to enhance investor protection and improve issuer transparency. These amendments restrict the ability of market makers to publish quotations for those companies that have not made required current financial and company information available to regulators and investors.

Ahead of the regulatory enforcement date, TD Ameritrade will only accept orders to liquidate positions - (i.e. no new buy orders) starting **on or after September 3, 2021**. Please note: After the amendment officially goes into effect on **September 28, 2021**, it may be more difficult to liquidate these securities. Quoting and market liquidity may also be very limited.

The list is below as of **September 20, 2021** and is subject to change at any time.

Symbol	Cusip	Company Name
AACS	025199100	American Commerce Solutions, Inc.
AALIQ	01023E100	Alabama Aircraft Industries, Inc.
AASL	03063J205	America's Suppliers, Inc.
ABBY	00287T308	Abby, Inc.
ABDR	022909204	Ambassador Food Services Corp.
ABKB	02451T106	American Basketball Association, Inc.
ABPR	00927Q102	Airborne Security & Protective Services, Inc.
ABVN	00083Q102	ABV Consulting Inc.
ABWN	00928L300	Airborne Wireless Network
ACBCQ	013288105	Albina Community Bancorp
ACCA	00389L104	Acacia Diversified Holdings, Inc.
ACFL	001642107	AMC Financial Holdings, Inc.
ACGI	022624100	Amacore Group, Inc. (The)
ACLD	004901104	Acquire Ltd.
ACNE	016096109	Alice Consolidated Mines, Inc.
ACNV	00434W105	Accelera Innovations, Inc.
ACRB	04521A109	Asia Carbon Industries, Inc.
ACTL	04300F105	Artec Global Media, Inc.
ACUS	00511R854	Acusphere, Inc.
ADCV	00512R200	AD Capital U.S., Inc.
ADDC	006698203	Addmaster Corp.
ADFS	025351107	American Defense Systems, Inc.
ADGO	00775N102	Advantego Corporation
ADHG	034224105	Ando Holdings Ltd.
ADIA	00687W109	Adia Nutrition, Inc.



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Symbol	Cusip	Company Name
ADKIL	007045107	Adkins Energy LLC
ADMV	00548E108	Adamas Ventures Inc.
ADSV	01951W205	Allied Security Innovations, Inc.
ADTM	00652J208	Adaptive Medias, Inc.
ADTR	01863R104	Alliance Media Holdings Inc.
ADTY	00738D100	Adtegrity.com International, Inc.
ADVC	00761J206	Advant-e Corp.
ADVV	00787A106	Adveco Group Inc.
ADYX	00784D103	Adynxx, Inc.
AEEI	02566W101	American Environmental Energy, Inc.
AEEY	04521X208	Asia Equity Exchange Group, Inc.
AEGG	025636200	American Energy Group, Ltd. (THE)
AEMC	039490206	Archer Entertainment Media Communications, Inc.
AESO	048539100	Atlantic Energy Solutions, Inc.
AEXE	00887T302	Aim Exploration Inc.
AFAP	001038108	AFA Protective Systems, Inc.
AFBG	02589V106	American Fiber Green Products, Inc.
AFCL	02341G108	Amcor Financial Corp.
AFFL	00827A108	Affiliated Resources Corp.
AFFN	00829L102	Affinity Networks, Inc.
AFFWW	88034E120	TENERITY INC.
AFFY	00826A109	Affymax, Inc.
AFIPA	001683101	AMFI Corp.
AFML	007772106	Aerofoam Metals, Inc.
AFOM	01663M107	All For One Media Corp.
AFPW	022201305	AlumiFuel Power Corp.
AFTC	02151Q105	Alternative Fuel Technologies, Inc.
AFTM	00831X102	AfterMaster, Inc.
AFYG	00829M100	Affinity Gold Corp.
AGCZ	034197202	Andes Gold Corp.
AGDY	008495202	Agri-Dynamics, Inc.
AGGX	03475U301	AngioGenex, Inc.
AGIN	02640K107	American Graphite Technologies Inc.
AGLT	034625202	Angel Telecom Corp.
AGRIP	00850L203	Agribank FCB



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Symbol	Cusip	Company Name
AGRRU	00851A107	Agra Resources LLC
AGRS	00854C100	Agristar, Inc.
AGTK	00856J202	Agritek Holdings, Inc.
AHAG	020781100	Alpha Technologies Group, Inc.
AHCD	039574108	Archival CD
AHFD	005051305	Active Health Foods, Inc.
AHLD	04683P100	ATD New Holdings Inc.
AIDA	008721102	AIDA Pharmaceuticals, Inc.
AIKO	02155W207	Alternative Investment Corporation
AITA	982593105	Wunong Asia Pacific Company Limited
ALAN	011612702	Alanco Technologies, Inc.
ALIF	04314Q105	Artificial Life, Inc.
ALKN	01642L105	Alkane, Inc.
ALLN	019924208	Allin Corp.
ALLQ	01664B100	ALL-Q-TELL Corp.
ALMC	01626K206	Alimco Financial Corporation
ALPC	02075V107	Alpha Investment Inc.
ALPE	02072E108	alpha-En Corporation
ALTB	02083C109	Alpine Auto Brokers Inc
ALYE	02260T305	Aly Energy Services, Inc.
AMCF	67086W105	Andatee China Marine Fuel Services Corp
AMGY	027462209	American Metal & Technology, Inc.
AMHD	40435L104	HLK Biotech Holding Group, Inc.
AMIN	02686Y300	American International Industries, Inc.
AMLC	023438104	Amelco Corp.
AMPO	032056103	Amperico Corp
AMRT	027388107	American Mart Corp.
AMRU	02311Q102	Amaru, Inc.
AMTCQ	03073H108	Ameritrans Capital Corp.
AMTPQ	03073H207	Ameritrans Capital Corp.
AMXX	577807100	Maxx Sports TV Inc.
ANCSQ	02524A209	American Consumers, Inc.
ANDD	03634H118	ANR Inc
ANDI	034206201	Andiamo Corp.
ANMP	03237E306	Anacomp, Inc.



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Symbol	Cusip	Company Name
ANTH	03674U300	Anthera Pharmaceuticals, Inc.
ANTI	037031101	Antigenics, Inc.
AOBI	028731503	American Oriental Bioengineering, Inc.
AOGC	052577103	Australian Oil & Gas Corp.
AOLS	00765G109	Aeolus Pharmaceuticals, Inc.
AOOO	052761103	Autoco.com, Inc.
AOXG	03740A106	Aoxing Pharmaceutical Company, Inc.
APGI	02906V102	American Power Group Corp.
APPM	03779P107	Apparel Manufacturing Associates, Inc.
APPZ	61173R202	Monster Arts Inc.
APRM	02879T100	American Pacific Rim Commerce Group
APVS	038287108	Applied Visual Sciences, Inc.
APWL	007623101	Advanced Powerline Technologies, Inc.
APXX	03767E106	Apex Resources, Inc.
APYI	04538V203	Aspyra, Inc.
AQBN	000303107	AAB National Co.
AQFD	00089Y109	A Classified Ad, Inc.
AQQSQ	02970Q203	American Spectrum Realty, Inc.
ARET	040098303	Arete Industries, Inc.
ARGL	040311102	Argyle Security, Inc.
ARHN	03957P309	Archon Corp.
ARINA	040408106	Arista Investors Corp.
ARMC	04520H105	Asiarim Corp.
ARNI	042564203	Arno Therapeutics, Inc.
ARPC	04002A107	Arem Pacific Corp.
ARWD	04276R109	Arrow Resources Development, Inc.
ARYX	043387109	ARYx Therapeutics, Inc.
ASAM	04621M300	AssuranceAmerica Corp.
ASCC	040443202	Aristocrat Group Corp
ASCS	025303306	American Crystal Sugar Co.
ASFZ	046008207	Associates First Capital Corp.
ASKE	011758406	Alaska Pacific Energy Corp.
ASKH	04624K103	Astika Holdings, Inc.
ASLM	029645108	American Silver Mining Co.
ASPD	04531E109	ASPEN DIGITAL, INC.



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Symbol	Cusip	Company Name
ASPW	040414203	Arista Power, Inc.
ASTA	046342101	Astra Veda Corporation
ASXH	04530T107	Aspen International Holdings, Inc.
ATCD	021367206	Altair Corp.
ATCN	046810107	Atec, Inc.
ATIG	04914U100	Atlantis Internet Group Corp.
ATIW	00207F107	ATI Networks, Inc.
ATNE	02151X100	Alternative Energy Technology, Inc.
ATPGQ	00208J801	ATP Oil & Gas Corp.
ATYG	049432107	Atlas Technology Group, Inc.
ATYM	04519U109	Asia Time Corp.
AUCM	05069K300	AuctionCities.com, Inc.
AUNM	05069J204	Auction Mills, Inc.
AURT	04988D109	Attune RTD
AURX	67059V209	Nuo Therapeutics, Inc.
AUTR	05335A102	Autris
AVFP	053777207	Avis Financial Corp.
AVGC	05350D205	Avangard Capital Group, Inc.
AVIX	053802401	Avix Technologies, Inc.
AVLP	05337U106	Avalanche International Corp
AVMR	05453U104	Avra Med Robotics Inc.
AVPI	00241A205	AVP, Inc.
AVSR	05379X208	Avistar Communications Corp.
AVTD	04317X107	Artventive Medical Group, Inc.
AWAW	963805106	White Fox Ventures, Inc.
AWEB	04009H105	Areti Web Innovations, Inc.
AWKS	03077Q203	AmeriWorks, Inc.
AWRS	01670P101	All World Resources Corp.
AWRY	017230103	Allegheny & Western Railway Co.
AWWC	004319109	Access Worldwide Communications, Inc.
AXCP	019743103	Allixon International Corp.
AXGC	05462C103	Axis Energy Corporation
AXLE	872538103	T.J.T., Inc.
AXLX	05462T304	Axiologix, Inc.
AXPT	054609102	Axis Petroleum Co.

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Symbol	Cusip	Company Name
AXPWQ	05460X406	Axion Power International, Inc.
AYHC	003501103	Ability Holding Corp.
AZIL	05478B107	Aziel Corporation
AZRH	05501N201	Azure Holding Group Corp
BABL	12008D108	Buildablock Corp.
BADGA	05655P309	Badger St Ethanol LLC
BANGN	92529T204	VERSANT PWR
BANI	06652L408	Banneker, Inc.
BBII	10973Y107	Brisset Beer International, Inc.
BBLS	71668V105	Petrolia Energy Corp.
BBME	09968P105	Border Bancshares
BBUCQ	089072300	Big Buck Brewery & Steakhouse, Inc.
BCAP	06827T104	Baron Capital Enterprise, Inc.
BCDS	093418101	Blaqclouds Inc.
BCII	09368L100	BCII Enterprises Inc.
BDVC	12325J101	Business Development Corporation of America
BDWGP	11161P205	BroadWing Communications, Inc.
BEEN	08771W109	Better Environment Concepts, Inc.
BEES	07712Y109	BEESFREE, Inc.
BEHL	09060S106	Biocentric Energy Holdings, Inc.
BESP	08181T100	BENEFIT STR PARTNERS RLTY TR INC
BEUT	808645105	Science to Consumers, Inc.
BFDE	076110105	Bedford Energy, Inc.
BFHJ	081909202	Beneficial Holdings, Inc.
BGEM	09548T106	Blue Gem Enterprise
BGES	09055Y100	Bio-Bridge Science, Inc.
BGMD	08861T206	BG Medicine, Inc.
BGNX	09056Q106	BIO-Genex Laboratories Inc.
BGRP	09628D104	Bluestem Group Inc.
BHORL	07724P104	Behringer Harvard Short Term Opportunity Fund I Limited Partnership
BIEI	74048K203	Premier Biomedical Inc
BILSU	078455102	Belle Isle Corp.
BIMT	09174H103	Bitmis Corp
BIONQ	09072R104	BioNitrogen Holdings Corp.
BKMM	077264109	Bekem Metals, Inc.



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Symbol	Cusip	Company Name
BKSD	09626A102	Blueprint Technologies, Inc.
BKSH	08468P201	Berkshire Homes, Inc.
BLFR	09625G100	BlueFire Equipment Corp.
BLLI	078111200	Bell Industries, Inc.
BLMC	090203100	Biloxi Marsh Lands Corp.
BLUU	09609D206	Blue Water Global Group, Inc.
BMRK	08161T203	Benchmark Energy Corporation
BMTM	10919T105	Bright Mountain Media, Inc.
BNXR	109703108	Brinx Resources Ltd.
BOAA	09055N203	Bio-America Inc.
BOGN	097189104	Bogen Communications International, Inc.
BONTQ	09776J101	Bon-Ton Stores, Inc. (THE)
BORK	102100104	Bourque Industries, Inc.
BOTX	09852W109	Bontex Inc.
BOXS	10319B100	BoxScore Brands, Inc.
BPCP	09141T206	Bishop Capital Corp.
BPMI	056543101	Badger Paper Mills, Inc.
BRCOQ	07384R408	Beard Co. (The)
BRER	107336109	Bresler & Reiner, Inc.
BRLL	068428101	Barrel Energy Inc
BRMT	06751P102	Bare Metal Std Inc
BROE	06828L308	Baron Energy, Inc.
BRRN	099863102	Born Inc.
BRSI	058659103	Ballistic Recovery Systems, Inc.
BRTR	068659309	Barrister Global Services Network, Inc.
BSEC	09076E109	Biosecurity Technology, Inc.
BSGC	08989Q100	BigString Corp.
BSND	101133106	Boston Sand & Gravel Co.
BSPM	090678301	Biostar Pharmaceuticals, Inc.
BSSP	070249107	Basline Productions, Inc.
BSYI	09068L103	BioSyntech, Inc.
BTCA	05644L203	Bactolac Pharmaceutical, Inc.
BTLR	07160A108	Battlers Corp
BUHF	118043108	Buck Hill Falls Co.
BUNM	122215106	Burned Media, Ltd.



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Symbol	Cusip	Company Name
BUSC	09606J107	Blue Star Global, Inc.
BWPC	09609H207	Blue Water Petroleum Corp.
BXNG	059890103	Bang Holdings Corp.
BYDC	103355103	Boyds Collection, Ltd. (The)
BYIN	07278X107	Baying Ecological Holding Group Inc
BZIC	07378U102	Beamz Interactive Inc
BZRD	095228102	Blubuzzard Inc.
BZTG	12428P103	Buzz Technologies, Inc.
CACH	127150308	Cache, Inc.
CAGU	148451107	Castle Group, Inc. (THE)
CALI	16936J202	China Auto Logistics Inc.
CAMG	13173Q106	CAM Group, Inc.
CANX	13766M108	CannAwake Corporation
CAOX	130505100	California Orchard Co.
CAPP	14069V100	Capstone Financial Group Inc.
CATKU	149353104	Catskill Litigation Trust
CBDG	87243T100	THC Pharmaceuticals, Inc
CBDX	23127M101	Curative Biosciences, Inc.
CBEX	132008103	Cambex Corp.
CBJCL	126797208	Corporate Asset Backed Corp.
CBKCQ	171046105	Christopher & Banks Corp.
CBRI	12601V109	CMTSU Liquidation Inc.
CBTRP	21988T207	Select Asset, Inc.
CCGM	16946P107	China CGame, Inc.
CCGY	16939E101	China Clean Energy, Inc.
CCHI	13215A101	Cambridge Capital Holdings, Inc.
CCLX	126857101	CableClix (USA), Inc.
CCMN	131015109	Callahan Consolidated Mines, Inc.
CCTM	14175N109	CARE2COM INC
CCTR	16945G207	China Crescent Enterprises, Inc.
CCVAJ	22234Q104	Country Club of Virginia Inc.
CCWF	171334204	Church & Crawford, Inc.
CDIIQ	12508A307	CD International Enterprises, Inc.
CDNN	16951A102	China Industrial Steel Inc.
CDNO	208892208	Consolidated Capital of North America, Inc.



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Symbol	Cusip	Company Name
CDRBQ	19200J106	Code Rebel Corporation
CDVIQ	12802T101	Cal Dive International, Inc.
CECBW	12515M112	CEC BRANDS LLC
CERX	19529P205	Colombia Energy Resources, Inc.
CESF	125178202	CE Software, Inc.
CESX	12517U104	CES Synergies Inc.
CETG	139893101	Capital City Energy Group, Inc.
CEVE	15677X107	Ceres Ventures, Inc.
CEXE	17256R105	Circle Entertainment Inc.
CFGX	140143108	Capital Financial Global, Inc.
CFPI	13080W105	California Style Palms, Inc.
CFRI	20716T109	Conforce International, Inc.
CFSU	204535108	Complete Financial Solutions, Inc.
CGAM	206514101	Concorde Gaming Corp.
CGCO	200654101	Commerce Group Corp.
CGHC	14019U103	Capital Group Holdings, Inc.
CGIP	150838100	Celadon Group, Inc.
CGNH	14160X203	CardioGenics Holdings Inc.
CGSO	15188N101	Centergistic Solutions, Inc.
CGUD	199751108	Com-Guard.com, Inc.
CGYV	16943V206	China Energy Recovery, Inc.
CHAG	15883D101	Chancellor Group, Inc.
CHBU	16936P109	China Agri-Business, Inc.
CHCC	169386109	China Chemical Corp.
CHCR	204620207	Comprehensive Care Corp.
CHCX	12646Y100	CTGX Mining, Inc.
CHGS	16942P101	China GengSheng Minerals, Inc.
CHJI	16890B104	China Changjiang Mining & New Energy Co., LTD.
CHLE	151368107	Centennial Specialty Foods Corp.
CHME	169491107	China Medicine Corp.
CHMN	165879107	Chester Mining Co.
CHMP	158520205	Champion Industries, Inc.
CHSH	16942K102	China Shoe Holdings, Inc.
CHSO	169420205	China Shouguan Investment Holding Group Corp
CHTM	161879200	Chatham Corp.



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CHWE	169464104	Chinawe.com, Inc.
CHZQ	16954X109	CHINA ZHONG QI HLDGS LTD.
CICN	171708100	Cicero, Inc.
CILZ	144033305	Carolina Mills, Inc.
CIND	169396108	China Industrial Group, Inc.
CITY	053436309	Avalon Correctional Services, Inc.
CIWT	16939N101	China Industrial Waste Management, Inc.
CKCB	18069R100	Clark County Bancorporation
CLCL	128699105	CALCOL, Inc.
CLHG	12547T200	CIE Holdings LLC
CLLA	183864107	Clayton & Lambert Manufacturing Co.
CLTH	18450W106	CleanTech Biofuels, Inc.
CLUBQ	89214A102	Town Sports International Holdings, Inc.
CLVFA	189234107	Cloverleaf Kennel Club
CMFO	16943R106	China Marine Food Group, Ltd.
CMFV	20038W103	ComF5 International, Inc.
CMHZ	06669A106	Banny Cosmic International Holdings, Inc.
CMIT	18975J101	CMARK International, Inc.
CMKI	125808303	CMK Gaming International, Inc.
CMNR	20061Q106	Commerce Energy Group, Inc.
CMNT	16942C100	China Mulans Nano Technology Corp., Ltd.
CMTX	205914203	COMTEX News Network, Inc.
CNBI	16890M100	China BCT Pharmacy Group, Inc.
CNDL	13741M108	Candlewood Hotel Company, Inc.
CNFA	138615505	CANTOR FITZGERALD INCOME TR INC.
CNGI	206621203	Concordis Group Inc
CNIC	17259U105	CION INVT CORP
CNLK	13765B103	Cannalink, Inc.
CNNM	16891G102	China Network Media Inc.
CNRR	12623A109	CN Resources, Inc.
CNWT	17284Q202	Cistera Networks, Inc.
COGV	19242P102	Cognitiv, Inc.
COHG	163076201	Cheetah Oil & Gas, Ltd.
COLE	193293107	Cole Office and Industrial REIT CCIT II Inc.
CONC	206827404	Conectisys Corp.



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CPAI	158571109	Champion Pain Care Corporation
CPFH	140144205	Capital Financial Holdings, Inc.
CPQQ	16944L108	China Power Equipment Inc.
CPSN	14070P100	Capstone Systems Inc
CPSO	140677105	CapSource Financial, Inc.
CPWY	18451W105	Clean Energy Pathways, Inc.
CPYA	22004E208	CORPORATE PPTY ASSOCS 18 - GLOBAL INC
CPYJ	23202P201	Custom Designed Compressor Systems, Inc.
CPYT	14173D103	CarePayment Technologies, Inc.
CRBO	14110Q209	Carbon Energy Corporation
CRFU	14169Q209	Carefree Group, Inc.
CRGP	13088P102	Calissio Resources Group, Inc.
CRGS	23127Q102	CurAegis Technologies, Inc.
CRJI	16944A102	China Runji Cement, Inc.
CRKM	224539106	Crank Media Inc.
CRKT	172971103	Cirmaker Technology Corp.
CRLI	172743205	Circuit Research Labs, Inc.
CRMBQ	228803102	Crumbs Bake Shop, Inc.
CRPE	198684102	Columbian Rope Co.
CRXM	876767104	Taxus Cardium Pharmaceuticals Group, Inc.
CSAY	16949Y105	China SheSays Medical Cosmetology Inc.
CSBI	14521N100	Carroll Shelby International, Inc.
CSGH	16943F102	China Sun Group High-Tech Co.
CSHZZ	901375105	2020 Cash Mandatory Exchangeable Trust
CSJT	15913A101	Changsheng International Group Limited
CSKD	169432101	China Skyrise Digital Service, Inc.
CSOL	16943E105	China Solar & Clean Energy Solutions, Inc.
CSSV	14766Q107	Caspian Services, Inc.
CTBG	192581205	Coil Tubing Technology, Inc.
CTBK	17770A109	City Bank (Lynnwood, WA)
CTBO	13808X203	Cantabio Pharmaceuticals Inc.
CTHZ	137389300	Cancer Treatment Holdings, Inc.
CTNI	177383106	Citron, Inc.
CTNR	15459P108	Central Natural Resources, Inc.
CTON	813630100	Second Street Capital, Inc.



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CTPPO	154051403	Central Maine Power Co.
CTPR	22945C105	CTPartners Executive Search Inc.
CTXV	12650A105	CTX Virtual Technologies, Inc.
CTYO	14915R303	CathayOnline, Inc.
CUSI	229904206	Cuisine Solutions, Inc.
CVAS	225300201	Creative Vistas, Inc.
CVST	223574104	Covista Communications, Inc,
CVSY	21247E108	Convergence Systems Inc.
CWPS	212849103	Conwest Associates, L.P.
CWTC	18538X100	Clearwave Telecommunications, Inc.
CXCQ	141618108	CardXX, Inc.
CYBA	138752100	Canyon Bancorp
CYBF	23249C205	Cyberfort Software, Inc.
CYGT	23255V105	Cygnus eTransactions Group, Inc.
CYNS	23256H105	Cygnus Oil & Gas Corp.
CYPS	232537209	Cyclo3pss Corp.
CYRP	23254M106	CYBRA Corp.
CYRR	13722T106	Canary Resources, Inc.
CYZN	23254F200	CyberZONE, Inc.
CZNB	17315R104	Citizens Bancorp (CA)
DAAT	23302R107	DAC Technologies Group International, Inc.
DAFL	262507106	Du-Art Film Laboratories, Inc.
DBGF	69841J106	Panex Resources Inc.
DBMG	24024P106	DBM Global Inc.
DBUB	23306V203	DBUB Group Inc.
DDDA	25400N102	Digital Day Agency, Inc.
DDLX	24023T109	DD's Deluxe Rod Holder, Inc.
DDRIQ	25532B109	Diversified Resources, Inc.
DEMO	24811V100	Democrasoft Holdings, Inc.
DESTQ	25065D100	Destination Maternity Corp.
DEVM	25178T109	DevMar Equities, Inc.
DEWM	25211R102	Dewmar International BMC, Inc.
DEWY	252063102	Dewey Electronics Corp. (The)
DGEN	24783R103	Deltagen, Inc.
DGIX	267912103	Dyna Group International, Inc.



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DGNG	25402T107	Diguang International Development Company Ltd.
DHCC	25278S100	Diamondhead Casino Corp.
DIGI	253895106	Digitiliti, Inc.
DION	254547102	Dionics, Inc.
DIRV	25457N500	DirectView Holdings, Inc.
DKAM	26205U309	Drinks Americas Holdings, Ltd.
DKGH	23341N208	DKG Capital Inc.
DKMR	98421Q107	Xtreme Fighting Championships
DLCR	49374X201	Kibush Capital Corp
DLPX	24712X106	Delphax Technologies, Inc.
DLYT	234044204	Dais Corporation
DMCD	251464202	Detwiler Fenton Group, Inc.
DMDD	252584107	Diamond Discoveries International Corp.
DMRR	239649106	Dayton & Michigan Railroad Co.
DMRRP	239649205	Dayton & Michigan Railroad Co.
DMTA	233279207	D Mecatronics, Inc.
DNDT	23325K204	DND Technologies, Inc.
DNXG	25775D200	DONGXING INTL INC.
DOMR	25746Q108	Dominion Resources Black Warrior Trust
DRWN	00089L107	A Clean Slate, Inc.
DTHR	233362300	Dthera Sciences
DVAR	25985P100	Dovarri, Inc.
DVDR	255376105	Divide Drives Inc.
DVFI	25531T101	Diversified Oil & Gas Holdings, Ltd.
DVLN	233347202	DVL, Inc.
DWOG	243798105	Deep Well Oil & Gas, Inc.
DYNA	268119104	Dynastar Holdings, Inc.
DYNE	268180403	DynTek, Inc.
DYSL	268102209	Dynasil Corp. of America
EATR	276252103	Eastern Asteria, Inc.
EAUI	26824Y104	EAU Technologies, Inc.
EAWD	29279A100	ENERGY & WTR DEV CORP.
EBML	278736202	eBullion, Inc.
ECCE	269519104	Eagle Ford Oil & Gas, Corp.
ECMH	29256R209	Encompass Holdings, Inc.



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Symbol	Cusip	Company Name
ECPL	279160402	EcoPlus, Inc.
ECPN	282812106	El Capitan Precious Metals, Inc.
EDHD	279307201	Edd Helms Group, Inc.
EDMCQ	28140M103	Education Management Corp.
EDZR	26842F103	E-Direct Inc.
EEGI	28658N402	Eline Entertainment Group, Inc.
EFIR	268487402	EGPI Firecreek, Inc.
EFLS	301622106	Exercise For Life Systems, Inc.
EFOR	28224P105	Efora Health Management, Inc.
EFOT	28224W100	Efotoexpress, Inc.
EGDD	276486107	Eastern Goldfields, Inc.
EGDW	28027J108	Edgewater Bancorp Inc.
EGOC	29271K106	Energy 1 Corp.
EGTK	29268F102	Energtek, Inc.
EHPTP	26959W204	Eagle Hospitality Properties Trust Inc.
EINF	29372R208	Enterprise Informatics, Inc.
EIPC	29247W101	Enable IPC Corp.
EKGRU	273265108	East Kans Agri-Energy LLC
EKNL	268554201	EKO International Corp.
EKSN	29482Y200	ERICKSON INC NEW
ELCO	284434206	Elcom International, Inc.
ELEK	286176102	Elektros Inc.
ELNX	28661J109	Elinx Corp.
EMBR	29079F200	Embarr Downs, Inc.
EMBT	29082W109	Ember Therapeutics, Inc.
EMHI	273771105	East Morgan Holdings, Inc.
EMMS	291525400	Emmis Communications Corp.
EMPO	29246G107	Empowered Products, Inc.
EMPS	26871Q103	EMP Solutions Inc.
EMQU	26872J108	EM Quantum Technologies Inc.
ENCC	29259X104	Encompass Compliance Corp.
ENCR	29272A206	Ener-Core, Inc.
ENGA	29282Q100	Engage Mobility, Inc.
ENGH	29279N102	ENERGY HBR CORP.
ENGT	29268X103	Energy & Technology Corp.



SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
ENHD	29268R205	Energroupholdings Corp.
ENHT	293313102	enherent Corp.
ENVS	29355M200	Enova Systems, Inc.
EOSC	285194106	Electro-Optical Systems Corp.
EOSI	26877P109	EOS International, Inc.
EPOR	29428L403	Epic Corp.
EPORP	29428L304	Epic Corp.
EPRSQ	29428P107	EPIRUS Biopharmaceuticals, Inc.
EQBM	587122201	Mensa Mining Corp.
EQKR	268820107	EQK Realty Investors I
EQLB	268826104	EQ Labs, Inc.
ERAO	29272F106	Energy Revenue America, Inc.
ERGN	29481Q307	Ergo Science Corp.
ERGO	29384E104	Entia Biosciences Inc.
ERINQ	295625107	Erin Energy Corp.
EROX	44485Q203	Human Pheromone Sciences, Inc.
ESFS	27885K108	Eco-Safe Systems USA, Inc.
ESINQ	45068B109	ITT Educational Services, Inc.
ESNC	29359T102	EnSync, Inc.
ESQF	296423106	Esecuresoft Co.
ESSE	270313208	Earth Search Sciences, Inc.
ESWW	29408K201	Environmental Solutions Worldwide, Inc.
ETCIA	285861100	Electronic Tele-Communications, Inc.
ETEC	292468204	Emtec, Inc.
ETEK	27887Y106	Eco-Tek Group, Inc.
ETII	29414X205	EnviroTechnologies International, Inc.
ETKKU	273265306	East Kans Agri-Energy LLC
ETKNU	273265207	East Kans Agri-Energy LLC
ETKR	30050T102	Evolution Technology Resources Inc.
ETNI	29383T300	Entest Group, Inc.
EVAI	29038L207	Elva International, Inc.
EVIO	30051V106	EVIO, Inc.
EVLI	300362308	Everlert, Inc.
EVOA	26928L107	EVO Transportation & Energy Services, Inc.
EVRC	299759100	Evercel, Inc.



SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
EVRX	29408E106	Environmental Rx Corp.
EVSP	294077102	Environmental Service Professional, Inc.
EXCC	30066R108	Excel Corp.
EXCE	269279600	EXCO Resources, Inc.
EXNT	29411J100	EnXnet, Inc.
EXOD	30209R106	EXODUS MOVEMENT INC.
EXPL	29272J108	Endurance Exploration Group, Inc.
EXRG	27888K105	Ecologix Resource Group, Inc.
EXSO	209102102	Consolidated Eco-Systems, Inc.
EXTC	302051404	Exide Technologies
EZEN	302311105	Ezenia! Inc.
EZTD	269334207	EZTD, Inc
FAFL	31849F106	First Americano Financial Corp. (Elizabeth, NJ)
FAKC	30303W209	FaceKey Corp.
FAME	338490204	Flamemaster Corp. (The)
FBEC	30255M100	FBEC Worldwide, Inc.
FBLQ	344869102	Football Equities, Inc.
FCGN	302902101	FCG, Inc.
FCHS	31949B104	First Choice Healthcare Solutions, Inc.
FCTI	30320K105	Fact Inc.
FCTXZ	30767E406	Farm Credit Bank
FDFT	344833108	Foodfest International 2000, Inc.
FDTC	30253V102	FNDS3000 Corp.
FEDC	313200107	Federal Casters Corp.
FEKR	315522102	Fertil-A-Chron, Inc.
FERD	31468A107	Ferd Corp.
FGCN	33842T109	Flagship Global Corporation
FHAI	35069H205	Fountain Healthy Aging, Inc.
FHBC	315218107	Fernhill Beverage, Inc.
FHLD	35639L108	FREEDOM HLDG INC.
FIEC	359124104	Frontier National Corp.
FIGM	36117K109	Future International Group Corp.
FIND	33831D107	FindEx.com, Inc.
FLAF	30767X107	Farm Lands of Africa, Inc.
FLFF	338467103	Flagstaff Holdings, Inc.



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Symbol	Cusip	Company Name
FLKI	306156407	Falken Industries Ltd.
FLMP	338487101	Flame Seal Products Inc.
FLOD	343520102	Flowery Gold Mines Co. of Nevada
FLRE	33849W204	Flameret Inc
FLSS	345143200	Forbes Energy Services Ltd.
FLST	35952M303	FuelStream, Inc.
FLXI	338923105	FlexiInternational Software, Inc.
FLXP	33939P101	Flexpower, Inc.
FLYE	459943304	International Jet Engine Supply, Inc.
FMBV	35968B101	Full Motion Beverage, Inc.
FMHG	303133102	FAH MAI HLDGSGROUP INC.
FNDM	360769301	Fund.com, Inc.
FNRC	32114B309	1st NRG Corp.
FONU	30255C201	FONU2 Inc.
FORU	349808105	ForU Holdings, Inc.
FOYJ	351676101	Foy-Johnston, Inc.
FPCG	33613R209	First Physicians Capital Group, Inc.
FPLF	33609T102	First Pet Life, Inc.
FPMI	343870101	FluoroPharma Medical, Inc.
FPPP	316570100	FieldPoint Petroleum Corp.
FQFC	361045206	Fuquan Financial Company
FRAZ	351842109	Francisco Industries, Inc.
FRCH	357814102	Frenchtex, Inc.
FRCN	318177201	Firemans Contractors, Inc.
FRMA	31832R109	Firma Holdings Corp.
FRPC	336153309	First Republic Corporation of America (The)
FRSI	336136106	First Rate Staffing Corp.
FRTEU	35909A105	Frontier Ethanol LLC
FRXX	34545C104	Forecross Corp.
FSEI	336397104	First Seismic Corp.
FSEN	30264D109	FS Energy & Power Fund
FTPM	35104N100	420 Property Management, Inc.
FTWS	33972Q107	Flitways Technology Inc.
FUTL	36117L107	FutureLand Corp.
FUUN	36114R107	Futurenet, Inc.

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SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
FWDG	36117M105	FutureWorld Corp.
FZRO	33852L103	FlashZero Corp.
GADS	36257R104	Gadsden Properties Inc.
GAEX	362389108	GA eXpress, Inc.
GAFL	38983C104	Great American Financial Corp.
GAMN	38982Q203	Great American Food Chain, Inc. (The)
GARB	364825109	Garb Oil & Power Corp.
GASE	367247103	GASE Energy, Inc.
GBBT	37890X201	Global Boatworks Hldgs Inc
GBIM	37957B100	GlobeImmune, Inc.
GBPT	379504202	Globe Photos, Inc.
GBSB	37476R107	GIBSON BRANDS INC
GBTT	362392102	Gabbit Corp
GCEA	39818P880	GRIFFIN CAP ESSENTIAL ASSET REIT INC
GCFB	38724Q404	Granite City Food & Brewery, Ltd.
GCIN	361559107	GC International, Inc.
GCLT	36268P105	GainClients, Inc.
GCYO	380554105	Gold Canyon Bank (Gold Canyon AZ)
GDVE	378966105	Global Development & Environmental Resources, Inc.
GDVTZ	38349K105	Gould Investors, L.P.
GENX	37227T105	Genex Pharmaceutical, Inc.
GETH	39303B305	Green Envirotech Holdings, Corp.
GEVI	36976P207	General Environmental Management, Inc.
GFCJ	40108Q109	Guaranty Financial Corp.
GFDV	36982M107	General Finance & Development, Inc.
GFGU	37427Y102	GetFugu, Inc.
GFTX	379341100	Global Fiber Technologies Inc.
GGLT	374503308	Giant Group, Ltd.
GGSM	380485102	Gold and GemStone Mining Inc.
GIGI	36467X206	Gaming Innovation Group Inc.
GKIT	39530U100	Greenkraft, Inc.
GLAE	377185202	GlassBridge Enterprises, Inc.
GLBD	379383102	Global Seed Corp
GLBH	37948L209	Globaltech Holdings, Inc.
GLBR	37891B109	Global Brokerage, Inc.



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Symbol	Cusip	Company Name
GLEC	37950A109	Global Ecology Corp.
GLFH	363377102	Galenfeha Inc
GLFN	58117F104	McHenry Metals Golf Corp.
GLLL	376373205	Glacial Lakes Corn Processors Unit
GLOH	37988R108	Glow Holdings, Inc.
GLRI	379606106	Glori Energy Inc
GLTIL	37949E105	GlobalTrans Investment PLC
GLUX	39054K108	Great Lakes Aviation, Ltd.
GLYE	38000P203	GlyEco, Inc.
GMND	39312N100	Green Mountain Development Corp.
GMNI	36866G101	Gemini Group Global Corp.
GMTU	393134200	Green Mountain Merger, Inc.
GNGR	403197106	Gunther Grant, Inc.
GNLKQ	36870F107	GeneLink, Inc.
GNOW	02505A202	American CareSource Holdings, Inc.
GNPG	393225107	Green Planet Group, Inc.
GOAQ	380779108	Golden Acquisition Corp.
GOFF	36190U206	Goff Corp
GOOI	38259Y103	Gooi Global, Inc.
GOPG	380187104	Go-Page Corporation
GORL	382784106	Gordon (I.) Corp.
GOSY	36840U101	GeckoSystems Intl. Corp.
GPDB	393229109	Green PolkaDot Box Inc.
GPELL	39116T303	Great Plains Ethanol LLC
GPEOU	39116T105	Great Plains Ethanol LLC
GPHG	37950V103	Global Pharm Holdings Group, Inc.
GPSI	370484107	General Payment Systems Inc.
GPTX	37936S109	Global Payment Technologies, Inc.
GRAZ	38983G204	Graze Inc.
GRCO	393609102	Greenbelt Resources Corp.
GREN	395717101	GreenSmart Corp.
GRGG	39303X109	Green For Energy, Inc.
GRNF	36257K109	GRN Holding Corporation
GRRP	387241805	Granite Broadcasting Corp.
GRVE	398845107	Groove Botanicals, Inc.



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Symbol	Cusip	Company Name
GRZZP	39869R207	Grizzly Energy LLC
GRZZU	39869R405	Grizzly Energy LLC
GSBYP	368039202	Gatsby Digital Inc.
GSDC	381454107	Goldsands Development Co.
GSIH	370853202	General Steel Holdings, Inc.
GSRX	36255V107	GSRX Industries Inc.
GSTN	39806G108	GreyStone Digital Technology, Inc.
GTAT	36191U205	GT Advanced Technologies, Inc.
GTGT	36244G203	GTG Ventures, Inc.
GTKP	367350204	Gatekeeper USA, Inc.
GTNM	38123Q104	Golden Time Network Marketing Limited
GTTL	37427L100	Getelman Corp
GTTN	362393100	GTT Communications, Inc.
GVBT	39355R109	Green Vision Biotechnology Corp.
GVDI	381229301	Golden Valley Development, Inc.
GVFG	G38490200	Gerova Financial Group Ltd.
GVFGU	G38490143	Gerova Financial Group Ltd.
GVHIB	37953F105	Global Vision Holdings, Inc.
GVSI	382147205	Good Vibrations Shoes Inc.
GWIN	37961Q101	Glorywin Entertainment Group, Inc.
GWOX	382168102	Goodheart-Willcox Co. (THE), Inc.
GYPHQ	40052G107	Gryphon Gold Corp.
GYSN	39807G107	Greyson International, Inc.
GZCC	40324D106	Guozi Zhongyu Capital Holdings Company
HABC	404459109	Habersham Bancorp, Inc.
HABK	407013101	Hamilton Bancorp, Inc.
HALN	40637W106	Halo Companies, Inc.
HAON	405890104	Halitron, Inc.
HAUP	419131107	Hauppauge Digital, Inc.
HBMK	44352W101	Hubei Minkang Pharmaceutical Ltd
HBPE	404104101	HBP Energy Corp.
HCBP	41752W103	Harvest Community Bank (Pennsville, NJ)
HCCA	42225V102	Healthcare Corporation of America
HCGS	42984M103	HighCom Global Security, Inc.
HCLC	422174102	Health-Chem Corp.

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Symbol	Cusip	Company Name
HDIH	404145104	H-D INTERNATIONAL HOLDINGS GROUP
HDST	422094102	Headstrong Group, Inc.
HDYNQ	448954206	HyperDynamics Corp.
HERB	98508U104	YaSheng Group
HERF	756790101	Red Oak Hereford Farms Inc.
HESG	42223A100	Health Sciences Group, Inc.
HGGGQ	42833L108	HHGregg, Inc.
HGHH	42966W103	High Desert Hldg. Corp.
HHBT	40423K100	HH Biotechnology Holdings Company
HHDS	43110A104	Highlands REIT Inc.
HHER	42703T207	Her Imports
HIMR	435730403	Hollund Industrial Marine, Inc.
HITD	44217E106	Houston InterWeb Design, Inc.
HIUH	42770P203	Hero International USA Holding Corp.
HKBT	40421J204	HK Battery Technology Inc.
HKGT	40422N105	HK Graphene Technology Corp.
HKWO	43858D104	Hong Kong Winalite Group, Inc.
HLBYL	42772P102	Heron Lake Bioenergy LLC
HLCN	436435200	Holoco, Inc.
HLLF	405330101	Halal Financial Services, Inc.
HLRD	431596105	Hilliard Corp.
HLUN	42224J100	HEALTHeUNIVERSE, Inc.
HLWD	020410205	Almost Never Films Inc.
HMGN	423501105	Hemagen Diagnostics, Inc.
HMLA	43742A209	Homeland Resources Ltd.
HMNY	42327L309	Helios and Matheson Analytics Inc.
HMSI	40427D102	HMS INCOME FD INC.
HNDI	41024A202	Handeni Gold Inc.
HNFSA	41078W100	Hanover Foods Corp.
HNFSB	41078W407	Hanover Foods Corp.
HNTM	446896102	HuntMountain Resources Ltd.
HOKUQ	434711107	Hoku Corp
HOMU	441693108	HOUMU HLDGS LTD.
HOOB	436434104	Holobeam, Inc.
HPIL	40432Y109	HPIL Holding



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Symbol	Cusip	Company Name
HRCR	427848106	Hershey Creamery Co.
HRDI	427105101	Here Media, Inc.
HRDIL	427105200	Here Media, Inc.
HRRS	44069P118	Horsehead Hldg LLC
HSCM	430776104	Highland Surprise Consolidated Mining Co.
HSEN	43878T204	Honsen Energy & Resources International Limited
HTHC	431166107	Hightimes Holding Corp.
HTHL	44590E103	Humitech International Group, Inc.
HTRC	445672108	Hunter Creek Mining Co.
HTSF	42236C408	Heartsoft, Inc.
HUBV	443329107	Hubb Ventures Inc.
HULK	85285P200	Stan Lee Media, Inc.
HUTN	404392102	HUTN, Inc.
HWSY	42013J204	Hawk Systems, Inc.
HWVI	420040107	Hawaii Vintage Chocolate Co.
HXPR	43358F104	Hispanic Express, Inc.
HYBE	44861A103	Hybrid Energy Holdings, Inc.
HYBT	42831T103	Heyu Biological Technology Corporation
HYEG	448876102	Hydrogen Engine Center, Inc.
HYWS	43626T100	Hollywood Studios International
HZHI	44041J206	Horizons Holdings International, Corp.
HZNTU	440424109	Horizon Ethanol LLC
IAGX	45247F104	Imagenetix, Inc.
IAHL	450722103	IAHL Corp.
IBSS	45810X102	Integrated Business Systems and Services, Inc.
ICLD	458488400	InterCloud Systems, Inc.
ICNM	45110Q104	Icon Media Holdings, Inc.
ICRD	45923G103	International Card Establishment, Inc.
IDAM	451524102	Idarado Mining Co.
IDEA	46123M106	Invent Ventures, Inc.
IDIG	459379103	International Digital Holding, Inc.
IDLM	45168L106	Idle Media, Inc.
IEHC	44949K107	IEH Corp.
IENT	45169Q104	iEntertainment Network, Inc.
IEXA	451691208	iExalt, Inc.



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Symbol	Cusip	Company Name
IFAM	45684Y103	Infrastructure Materials Corp.
IFGH	45678N105	Infoserve Global Holdings Corp.
IGNE	451695100	IGENE Biotechnology, Inc.
IGNT	45684G508	Ingen Technologies, Inc.
IGOI	449593300	iGo, Inc.
IGSC	45174N104	IGS Capital Group Ltd.
IHTI	45822A207	Integrative Health Technologies, Inc.
ILDIA	457704104	Inspiration Lead Co., Inc.
ILDO	45937N201	International Daleco Corp.
ILIM	45173T102	IL2M International Corp.
IMGI	45246K203	ImaginOn, Inc.
IMGL	45249H108	Image Intl Group Inc.
IMGX	45248W106	Image Metrics, Inc.
IMKI	45252S306	Immediatek, Inc.
IMTH	45782W108	Innovative MedTech Inc.
IMTO	45882L101	InterMetro Communications, Inc.
IMTV	45249L109	Imagination TV, Inc.
INAR	46062P300	InternetArray, Inc.
INBI	45685W106	Infusion Brands International, Inc.
INBT	45332G207	Inbit Corp.
INNI	45777T102	Innovaro Inc.
INOH	453229106	In Ovations Holdings, Inc.
INOW	456664309	InfoNow Corp.
INRE	457464105	Inland Real Estate Income Tr Inc
INTH	45771C105	InnoTech Corp.
INTK	456277102	Industrial Nanotech, Inc.
INVI	45811H106	Integral Vision, Inc.
INVL	45781R100	Innovative Climatic Technologies Corp
INVT	46123X409	Inventergy Global, Inc.
IOTC	46225M103	Iota Communications Inc.
IPIC	46263D106	iPic Entertainment Inc.
IPLY	460615107	Interplay Entertainment Corp.
IPRC	45308Q106	Imperial Resources, Inc.
IPUB	45569C101	indiePub Entertainment, Inc.
IPWLG	455434407	Indianapolis Power & Light Co.



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Symbol	Cusip	Company Name
IPWLO	455434886	Indianapolis Power & Light Co.
IRBL	45773P104	Inrob Tech, Ltd.
IRIG	45825L101	Integrated Drilling Equipment Holdings Corp.
ISAT	450083209	ISA Internationale, Inc.
ISHI	46467L102	Islet Holdings, Inc.
ISHM	45677V108	InfoSearch Media, Inc.
ISLV	460328107	International Silver, Inc.
ISOL	45244M102	1image Software, Inc.
ITFY	45867F202	Interfoundry, Inc.
ITKH	465635100	iTeknik Holding Corporation
ITLK	458752102	Interlink Products International, Inc.
ITPC	46119E209	Intrepid Capital Corp.
ITRO	465743300	Itronics Inc.
ITRX	460707102	inTerra Resources Corp.
ITUP	46113X104	Interups Inc.
IUCDL	Q4976A101	Ishares UBS Composite Bond Index Fund
IUTIL	Q4976C107	Ishares UBS Treasury Index Fund
IVDN	45772B106	Innovative Designs, Inc.
IVHI	46124F209	Invech Holdings, Inc.
IWAL	46603R104	iWallet Corporation
IWETU	462427105	Iowa Ethanol LLC
IWKS	001058205	AFP Imaging Corp.
IZNN	45074N105	IZON Network, Inc.
JACO	469783104	JACO Electronics, Inc.
JAGR	39341A103	Green Street Capital Corp
JALC	006246102	Adams (JOHN) Life Corp.
JAMN	470751108	Jammin Java Corp.
JBZY	466102209	JB&ZJMY Holding Company, Inc.
JCTG	47760T107	Jiucaitong Group Limited
JEMC	46619V107	J.E.M. Capital Inc.
JERT	46614H400	JER Investors Trust, Inc.
JKRO	470123100	Jakroo Inc.
JMIH	48206Q103	Jupiter Marine International Holdings, Inc.
JMON	47033P205	James Monroe Capital Corp.
JMTM	47033Q104	James Maritime Holdings, Inc.

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Symbol	Cusip	Company Name
JMVYU	470385105	James VY Ethanol LLC
JOEY	47777X109	Joey New York, Inc.
JRJRQ	46645Q106	JRjr33, Inc.
JSTS	482196102	Justiss Oil Co., Inc.
JUHL	48132B204	Juhl Energy, Inc.
JUMT	48138T100	Juma Technology Corp.
KALG	48238A100	KAL Energy, Inc.
KARE	499866101	Koala Corporation
KATA	485840102	Katana Broadband Corporation
KATX	48238M203	KAT Exploration Inc.
KBSG	48244M106	KBS GROWTH & INCOME REIT INC.
KBSR	48668L105	KBS Real Estate Invst Tr III Inc.
KDKN	500117106	Kodiak Energy, Inc.
KEGX	49309J202	Key Energy Services Inc. Del
KGHI	483059101	Kaiser Group Holdings, Inc.
KGNR	484199104	Kange Corp.
KGRI	88338P409	Kiley Group, Inc. (The)
KIDBQ	49375T100	Kid Brands, Inc.
KJFI	20047F109	Comjoyful International Co
KLGG	498499300	Klegg Electronics, Inc.
KLOC	501337406	Kushner-Locke Co. (The)
KLTI	498454107	KlausTech, Inc.
KNBA	494488208	Kinbasha Gaming International, Inc.
KNIT	494612203	KINETIC GROUP INC
KNKT	50126T100	Kunekt Corp.
KONA	50047H201	Kona Grill, Inc.
KPAY	49460M101	KinerjaPay Corp.
KPCM	495602104	King of Pine Creek Mining Ltd.
KRBF	50077A209	Kreido Biofuels, Inc.
KRED	50048Y104	KonaRed Corp
KSSH	492536107	Kesselring Holding Corp.
KUSA	485775100	Kashin, Inc.
KVIL	49381F102	Kidville, Inc.
KWBT	49834X205	Kiwa Bio-Tech Products Group Corp.
KWIK	50152R101	KwikClick, Inc.



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Symbol	Cusip	Company Name
KYSRW	49309J129	Key Energy Services Inc. Del
LANZ	514624303	Lancer Orthodontics, Inc.
LATF	518411103	Latteno Food Corp.
LBAO	549266104	Luboa Group, Inc.
LBAS	539573105	Location Based Technologies, Inc.
LBGF	52729P105	Level Best Golf, Inc.
LBRG	50582Q202	Ladybug Resource Group, Inc.
LCAR	526867106	Lescarden, Inc.
LCNTU	546234204	Louisiana Central Oil & Gas Co.
LEAS	86270P106	Strategic Asset Leasing Inc.
LEHKQ	52519Y209	Lehman Brothers Holdings Capital Trust III
LEHLQ	52520B206	Lehman Brothers Holdings, Inc. Capital Trust IV
LEHNQ	52520X208	Lehman Brothers Holdings Capital Trust VI
LFEX	549526101	Lucky Friday Extension Mining Co.
LFPI	53215R100	LifePoint, Inc.
LGAH	50187E109	LGA Holdings, Inc.
LGBI	13765A105	Cannabiz Mobile, Inc.
LGNC	540601101	Logan Clay Products Co.
LGTT	50187W208	LIGATT Security International, Inc.
LHHMQ	52520E200	Lehman Brothers Holdings, Inc.
LHTV	53227A103	Lightstone Value Plus REIT Inc.
LIHLU	516757101	LARCHMONT INTER HOLDCO LLC.
LIQDQ	53633A101	Liquid Holdings Group, Inc.
LKAI	501882104	LKA Gold Inc.
LKCRU	507464105	Lake Area Corn Processors LLC Unit Ltd Liability Co
LLBO	53219E808	Lifeline BioTechnologies, Inc.
LLND	515062107	Landmark Land Company, Inc.
LMSC	53803W206	Live Microsystems, Inc.
LMTI	517905105	Laser Master International, Inc.
LMWW	502096100	LMWW Holdings, Inc.
LNDT	52989W105	LianDi Clean Technology, Inc.
LNGB	54289V100	Longbau Group Inc.
LNGT	51805Q102	Laser Energetics, Inc.
LNKG	53576C106	Link Group, Inc. (The)
LNWX	52634R204	Lenox Wealth Management, Inc.



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Symbol	Cusip	Company Name
LOCMQ	53954W104	Local Corp.
LOGL	52490C101	Legend Oil and Gas, Ltd
LOTE	54567W309	Lot78, Inc.
LOVV	54714U107	Love International Group, Inc.
LPHM	524038106	Lee Pharmaceuticals
LPPI	50184Q105	LEEP, Inc.
LPTC	52186M108	Le@p Technology, Inc.
LRNT	543770101	Lorain Telecom Corp.
LSKA	53654P102	Liska Biometry, Inc.
LSYN	53013F100	Liberated Syndication Inc
LTCO	54288P104	LONG TERM CARE OPERATIONS 360 INC.
LTDH	53839Q207	Living 3D Holdings, Inc.
LTEC	545731200	LOUD Technologies, Inc.
LTFD	537590101	Littlefield Corp.
LTNC	50541A108	Labor Smart Inc
LTRE	522015106	Learning Tree International, Inc.
LTSA	50575Q201	Ladenburg Thalmann Financial Services, Inc.
LTSC	53227B200	Lightscape Technologies, Inc.
LTSF	50575Q110	Ladenburg Thalmann Financial Services, Inc.
LTSH	50575Q508	Ladenburg Thalmann Financial Services, Inc.
LTSK	50575Q409	Ladenburg Thalmann Financial Services, Inc.
LTSL	50575Q300	Ladenburg Thalmann Financial Services, Inc.
LTTC	518414107	Lattice, Inc.
LTUC	537518300	Little Sioux Corn Processors LLC
LTUU	537518102	Little Sioux Corn Processors LLC
LVCA	511082109	Lake Victoria Mining Company, Inc.
LVRP	538320102	Liverpool Group, Inc.
LVVP	53227M107	LIGHTSTONE VALUE PLUS REAL ESTATE INV T TR V INC
LVVR	53227L109	LIGHTSTONE VALUE PLUS REAL ESTATE INV T TR III INC
LWLW	543356109	Longwen Group Corp.
LZNN	52110C101	Lazuriton Nano Biotechnology USA Inc.
MADI	558269106	Madison Systems, Inc.
MAHI	609176102	Monarch Services, Inc.
MAHN	59523E103	Mid-Atlantic Home Health Network, Inc.
MAJCQ	G5760D111	Majestic Capital Ltd.



SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
MAJJ	594060204	Michael Anthony Jewelers, Inc.
MAPL	565311107	Maple Press Co.
MBCI	554094102	MabCure, Inc.
MBDC	575600101	Massachusetts Business Development Corp.
MBIPN	55276G600	MBIA Insurance Corp.
MBIPO	55276G204	MBIA Insurance Corp.
MBIPP	55276G501	MBIA Insurance Corp.
MBLV	60742Q109	MobiVentures, Inc.
MBVA	60037B205	Millennium Bankshares Corp.
MCAP	562694109	Mango Capital, Inc.
MCDA	48254P106	KMA Holding, Inc.
MCELQ	60038B105	Millennium Cell, Inc.
MCET	62544S200	MultiCell Technologies, Inc.
MCHA	57667H106	Matchaah Holdings, Inc.
MCRT	59502C102	Microart, Inc.
MCTH	58455T203	Medical Connections Holdings, Inc.
MDAV	238527204	Davi Luxury Brand Group, Inc.
MDAW	58448B103	Media Way Corp.
MDDXZ	90214R103	2017 Mandatory Exchangeable Tr
MDGC	58448D109	MediaG3, Inc.
MDGP	584364202	MedGroup, Inc.
MDIT	58503D200	Medite Cancer Diagnostics, Inc.
MDKM	582826301	MDECHEM, Inc.
MDLH	58457X202	Medical International Technology, Inc.
MDLM	58503T205	Medley Management, Inc.
MDLQQ	58503Y204	Medley LLC
MDLXQ	58503Y105	Medley LLC
MDNL	560295107	Maiden Lane Jewelry, Ltd.
MDTC	58448P102	Media Technologies Inc.
MEDD	58458L108	Medical Imaging Corp.
MEGH	554040105	MW Investment Holding Group, Inc.
MEMI	604593103	Miracle Entertainment Inc.
MENB	586579104	Mendocino Brewing Co., Inc.
MENC	60040T102	Millennium Energy Corp
MERR	590419206	Merriman Holdings, Inc.

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SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
MERT	592285100	Metropolitan Royalty Corp.
MFCO	595176108	Microwave Filter Co., Inc.
MGGI	55917N105	Magicstem Group Corp.
MGLI	559072301	Magellan Industries, Inc.
MGNT	58518C106	Meganet Corp
MGOM	59862G107	Migom Global Corp.
MGTC	585160104	Megatech Corp.
MIHL	611810102	MONTAGUE INTERNATIONAL HOLDING LTD.
MIKP	59863T207	Mike The Pike Productions, Inc.
MIXX	60688W201	MIX 1 LIFE, INC.
MJSCZ	56073Q106	Majectic Holdco LLC
MKRO	609452107	Monkey Rock Group, Inc.
MLCG	55314D304	ML Capital Group Inc.
MLHC	55313Q108	M Line Holdings, Inc.
MLTO	58501Q104	MEDirect Latino, Inc.
MMNT	60879D103	Momentous Holdings Corp.
MMTC	59484E209	Micro Imaging Technology, Inc.
MNAP	55315B109	MNP Petroleum Corporation
MNDEZ	901376202	2020 Mandatory Exchangable Trust
MNGG	60365U106	Mining Global, Inc.
MNIA	13768C108	Cannis Inc.
MNII	562020107	Manati Industries, Inc
MNIZ	36870L104	GEN 2 TECHNOLOGIES INC.
MNMT	61979X106	Motivating The Masses Inc
MNSF	56418U101	Mansfelder Metals Ltd.
MOCI	60754P106	Modern Cinema Group, Inc.
MOMT	60937K206	MoneyOnMobile, Inc
MOPN	55313W105	MOP Environmental Solutions, Inc.
MPEG	45765K105	Innovacom Inc.
MPML	553358201	MPM Technologies, Inc.
MPVR	553438102	MP Ventures, Inc.
MPWF	62477B109	MPOWER FING PUB BENEFIT CORP.
MRGE	60463B109	Mirage Energy Corporation
MRGN	58950R108	Mergence Corp.
MRGO	566605101	Margo Caribe, Inc.

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SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
MRPI	58732R103	Mera Pharmaceuticals, Inc.
MRPS	59513P100	Microphonics, Inc.
MSAH	56166J100	Man Shing Agricultural Holdings, Inc.
MSET	558248100	Madison Sports & Entertainment Group, Inc.
MSFN	56064H107	Mainstreet Financial Corp.
MSIU	58464A104	Medical Supply International USA, Inc.
MSSEL	575634209	Massachusetts Electric Co.
MSTLW	61761JU80	Morgan Stanley
MTMW	57681A103	Matmown, Inc.
MTNX	585730104	Meltronix, Inc.
MTVX	00191J107	APT Moto Vox Group Inc
MTWD	592724108	Metwood, Inc.
MVAC	620105106	MotorVac Technologies, Inc.
MYCX	62847T202	MyCelx Technologies Corp.
MYFT	628513103	My Freightworld Technologies, Inc.
MYRX	62856H107	Myrexix, Inc.
MYSN	628635104	Myson Group, Inc.
MZPS	628703100	Myzipsoft, Inc.
NADA	656837101	North American DataCom, Inc.
NAMX	656855202	North American Exploration Corp.
NBGV	65080W105	NewBridge Global Ventures, Inc.
NBRY	650766108	Newberry Specialty Bakers, Inc.
NCEN	62957N102	Nacel Energy Corp.
NDES	63884L102	Natural Destiny Inc.
NDMT	67012R105	NOWNEWS DIGITAL MEDIA TECHNOLOGY COLTD
NEIK	66704D101	Northstar Electronics Inc.
NEOM	640505400	NeoMedia Technologies, Inc.
NESW	644217101	New England Service Co.
NEUN	64124F301	NeuroGenesis, Inc.
NEVE	64157B102	Nevo Energy, Inc.
NFPC	666280102	Northfield Precision Instrument Corp.
NFSE	65338C109	nFinanSe, Inc.
NFTI	654865104	Nofire Technologies, Inc.
NGMC	65340V103	Next Generation Management Corp.
NGOOB	66516V307	Northern Growers LLC



SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
NGOOL	66516V208	Northern Growers LLC
NGOWL	66516V109	Northern Growers LLC
NGRC	636268203	National Graphite Corp.
NHGP	645510108	New Horizon Group, Inc.
NHLE	65341J109	Nhale Inc.
NHYF	63888X102	Natural Harmony Foods, Inc.
NINK	62987R107	NAMI Corp.
NLAB	67057T107	NuOncology Labs, Inc.
NLMP	636637100	National Lampoon, Inc.
NLRT	65528D107	Nogales Res Corp.
NMGC	640497202	NeoMagic Corp.
NMSCA	67061Y100	Nutrition Management Services Co.
NMTT	65441L103	Nimtech Corp.
NNLX	630076107	Nanologix, Inc.
NNYR	663462208	Northamerican Energy Group Corp.
NOEC	64758A107	New Oriental Energy & Chemical Corp.
NORNQ	65542W206	Noranda Aluminum Holding Corp.
NRCD	63147Q104	Nashville Records, Inc.
NRGT	29276W105	Energy Today Inc.
NRPR	67072S209	Nutri Pharmaceuticals Research, Inc.
NSBK	665728101	Northern Star Financial, Inc.
NSLPQ	64881E109	New Source Energy Partners LP
NSPT	652477100	Newron Sport
NSTM	67001V109	NovelStem International Corp.
NTEI	66988C100	Nova Tech Enterprises, Inc.
NTFL	64127V105	Network 1 Financial Group, Inc.
NTFY	669956401	Notify Technology Corp.
NTGL	63010J104	NanoTech Gaming, Inc.
NTME	64114Q101	NetMed, Inc.
NTOX	66978R109	Notox Technologies Corp.
NTPY	64119A101	NetPay International Inc.
NTRU	63883U103	Natur International Corp.
NTSLU	66706U101	Northstar Ethanol LLC
NUEC	670365105	NuEarth Corp.
NUGN	67052F102	NuGene International, Inc.



SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
NUSPQ	64881E307	New Source Energy Partners LP
NUUU	759408107	Rejuvel Bio-Sciences, Inc.
NWGC	649285103	New World Gold Corp.
NWMH	63847Q107	National Waste Management Holdings, Inc.
NWSAL	U9598Q116	News Corp
NWSLL	U9598Q108	News Corp
NWTM	64886C108	NEW TMW TOPCO INC
NWTT	62948J103	NW Tech Capital, Inc.
NWXJ	65056Q108	newAX, Inc.
NXMR	65338F102	NextMart, Inc.
NXNN	65342G203	Nexeon Medsystems Inc
NXSI	65338M107	Nexis International Industries, Inc.
NXSL	65337W106	NexTech Solutions, Inc.
NXTH	67073X108	NXT Nutritionals Holdings, Inc.
NXTYQ	65333R200	Nexity Financial Corp.
NYLE	670720200	Nyle International Corp.
NYOM	62947R106	NYC on the Move Inc.
NZIH	62971A102	NZJ Holdings Inc.
OART	677143208	Ohio Art Company (The)
OBMP	68235A200	OncBioMune Pharmaceuticals, Inc.
OCESO	675022305	Ocean Spray Cranberries, Inc.
OCESP	675022206	Ocean Spray Cranberries, Inc.
OCTX	67572P101	Octagon 88 Resources Inc.
ODYC	67611R100	Odyne Corp.
OGNT	68618B205	Organa Technologies Group, Inc.
OGSM	68618D102	Organic Sales and Marketing, Inc.
OGSWW	69036R129	Overseas Shipholding Group, Inc.
OHBK	679785105	Old Harbor Bank (Clearwater, FL)
OICT	67778A106	Oicintra, Inc.
OJSY	67813P100	OJsys, Inc.
OMAGQ	681659207	Omagine, Inc.
OMDD	686679101	Ormand Industries, Inc.
OMPS	68216B102	Omphalos, Corp.
OMVE	68215X105	Omni Ventures, Inc.
ONBI	682323209	One Bio Corp.



SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
OPGX	683886303	Optigenex, Inc.
OPLF	68403X107	OptiLeaf, Inc.
OPST	671003101	OPT Sciences Corp.
OPUS	68404C102	OPUS MAGNUM AMERIS, INC.
OPVS	63009W108	NanoFlex Power Corporation
ORHB	68620R107	ORHUB, Inc.
ORMTQ	686701103	Ormet Corp.
ORNC	684058209	Oranco, Inc.
OSIN	683945208	Optimum Source International, Ltd.
OSRS	68827T104	Osiris Corp.
OTCKU	68956Q103	Otter Creek Ethanol LLC
OTOW	67107C203	O2 Secure Wireless, Inc.
OTTV	92852K200	Viva Entertainment Group, Inc.
OUTB	689898104	Outback Oil & Mineral Exploration Corp.
OVIT	68232J105	OncoVista Innovative Therapies, Inc.
OVSPW	69036R137	Overseas Shipholding Group, Inc.
OWCP	67109N108	OWC Pharmaceutical Research Corp.
PACO	69523R103	Paco Integrated Energy, Inc.
PAOS	03077Y107	Amerinac Holding Corp.
PARD	732449400	Poniard Pharmaceuticals, Inc.
PARDP	732449202	Poniard Pharmaceuticals, Inc.
PARF	699058103	Paradise, Inc.
PBEV	74060W101	Premium Beverage Group, Inc.
PCBN	72013P108	Piedmont Community Bank Group, Inc.
PCOA	70686R708	Pendrell Corporation
PCPJ	69882A105	PaperClip, Inc.
PCYS	74162Y104	PrimeCare Systems, Inc.
PDGO	69901U306	Paradigm Oil and Gas, Inc.
PDMI	69900Q884	Paradigm Medical Industries, Inc.
PDNLA	741004105	Presidential Realty Corp.
PDNLB	741004204	Presidential Realty Corp.
PDOS	727667107	Platinum Studios, Inc.
PDRX	693298101	PD-RX Pharmaceuticals, Inc.
PECD	715814406	Pet Ecology Brands, Inc.
PEDH	711026104	Peoples Educational Holdings, Inc.

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SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
PEGX	70557X107	The Pegasus Companies, Incorporated
PEMC	694225202	Pacific Energy & Mining Co.
PERT	714205101	Permanent Technologies, Inc.
PFND	70319A106	Pathfinder Cell Therapy, Inc.
PFNL	729511105	Plymouth Financial Corp.
PFNO	69912M400	ParaFin Corp.
PFTI	746091107	puraDYN Filter Technologies, Inc.
PFTY	69917C100	PARALLEL FLIGHT TECHNOLOGIES INC.
PFWIQ	71674X103	Petrone Worldwide, Inc.
PGSC	743322109	Progressive Green Solutions, Inc.
PHGD	717439103	Phil-Good Products, Inc
PHLI	695113100	PacificHealth Laboratories, Inc.
PHOS	71922F102	Phosphate Holdings, Inc.
PIUTQ	695790105	Paiute Oil & Mining Corp.
PKDC	701081606	Parker Drilling Co.
PKDCW	701081119	Parker Drilling Co.
PLFX	74586Y102	Pulse Evolution Corp
PLNE	727063109	Planet Signal, Inc.
PLNTQ	74340R104	Proliance International, Inc.
PLSI	71910Q102	Phoenix Life Sciences International Ltd.
PLTI	727633109	Platcom, Inc.
PLWN	723104105	Pinelawn Cemetery
PLYR	731787305	Polymeric Resources Corp.
PLYZ	73016P103	Plyzer Technologies Inc.
PMBY	73751Y103	POSTD Merchant Banque
PMDL	69372M106	Pace Medical, Inc.
PMIR	69344M507	PMI Group, Inc. (The)
PMOZ	74272D107	PrismOne Group, Inc.
PNRC	74050H106	Premier Energy Corp.
POGS	723826301	Pioneer Oil & Gas
POSC	737397604	Positron Corp.
POYN	73022L104	Po Yuen Cultural Holdings (Hong Kong) Co., Ltd.
PPCQ	74053X108	Premier Pacific Construction, Inc.
PPMD	74371A103	Protide Pharmaceuticals, Inc.
PPMT	74317U205	Profit Planners Management, Inc.



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Symbol	Cusip	Company Name
PPRG	70322M204	Patient Portal Technologies, Inc.
PPRW	740566104	Premier Power Renewable Energy, Inc.
PRAC	743088106	Productivity Technologies Corp.
PRCF	74370B102	Protein Reactor Combined Fuels Inc
PRCK	72604P103	Placer Creek Mining Co.
PREHU	739550101	Praire Ethanol LLC
PRENU	739550200	Praire Ethanol LLC
PRHL	74051M104	Premier Holding Corp.
PRLO	743406100	Prologic Management Systems, Inc.
PRMD	74167A101	PRIMEMD INC.
PRMO	743423204	Prom Resources, Inc.
PROM	74346J106	Propel Media, Inc.
PRRE	73943L100	Praetorian Property, Inc.
PRTR	740345103	Precision Trim Inc
PRVU	741390108	PreVu, Inc.
PRWWW	74445L119	PRWireless PR Inc
PRXG	714263209	Pernix Group, Inc.
PRXIQ	74051E201	Premier Exhibitions, Inc.
PRXM	744285206	Proxim Wireless Corp.
PRZM	74273Y100	Prism Technologies Group, Inc.
PSBC	694864109	Pacific State Bancorp
PSCR	74373V105	Proto Script Pharmaceutical Corp.
PSFT	73936K200	Powersafe Technology Corp.
PSPW	88580V104	3Power Energy Group Inc.
PSWR	742646102	Prism Software Corp.
PSYS	69901J103	Paradigm System Solutions, Inc.
PTBBU	73044X102	Poet Biorefining LLC
PTCYZ	699002101	Parade Technologies Ltd.
PTEO	74369R407	Proteo, Inc.
PTLF	71639C103	Petlife Pharmaceuticals, Inc.
PTNL	72736R100	PLANTATION CORP.
PTOP	705484103	Peer to Peer Network
PTRC	71647K303	Petro River Oil Corp.
PTSS	705016103	Peartrack Security Systems, Inc.
PTTTS	697116101	Palmetto Real Estate Trust



SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
PUPS	719574105	Pick-Ups Plus, Inc.
PURT	74639U107	Purthanol Resources Limited
PURY	74624F107	PureRay Corp.
PVNO	744123100	ProVision Operation Systems, Inc.
PWLK	73933J107	Powerlock International Corp.
PYCT	704289107	Paychest, Inc.
PZOO	70454Q304	Pazoo, Inc.
PZRQ	71723F103	PhaseRx, Inc.
QCCP	74735Y102	Quad County Corn Processors Cooperative
QDLC	74730R102	Quadlogic Controls Corp.
QIAN	74739E102	Qiansui International Group Co Ltd.
QING	74734L101	Qingdao Footwear, Inc.
QMDT	74837Q106	Quick-Med Technologies, Inc.
QMIS	74735J105	QMIS Finance Securities Corp
QNXC	74732N109	Qenex Communications, Inc.
QRSM	74726W107	QRS Music Technologies, Inc.
QTGI	74767T104	Quark Technology Global Inc.
QUCT	74823W109	Queen City Investments, Inc.
QUGB	748775103	QUINTON GROUP INC.
QWTR	74837L107	Quest Water Global, Inc.
RADR	750465106	Radium Resources Corp.
RAGL	749216107	RA Global Services, Inc.
RAIO	75041A100	Radioio, Inc.
RBCL	74926T201	RBC Life Sciences, Inc.
RBDC	749283206	RBID.com, Inc.
RCCB	771698107	Rochester Community Baseball Inc.
RCMH	75525E107	Reach Messaging Holdings, Inc.
RCPIQ	772081204	Rock Creek Pharmaceuticals, Inc.
RCTC	755746104	ReadyCredit Corp.
RDCO	75735W103	Redefy Corporation
RDFD	757350103	Redfield Energy LLC
RDML	75678Q402	Red Mile Entertainment, Inc.
RDVA	75525W107	RDVA INC.
RDWD	758054100	Redwood Group International
REAC	74945M203	REAC Group, Inc.



SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
REBL	75617J105	Rebel Group, Inc.
REGX	75703V103	Red Trail Energy LLC
REOP	760248104	Reo Plastics, Inc.
REOS	760259101	ReoStar Energy Corp.
RESCU	760841205	Rescap Liquidating Trust
RFCS	75865X202	Refocus Group, Inc.
RFFDU	757350202	Redfield Energy LLC
RFII	75080F101	Rain Forest International, Inc.
RGBD	75903Q201	Regional Brands Inc.
RGBOQ	75884M302	ReGen Biologics, Inc.
RGDXQ	76123U105	Response Genetics, Inc.
RGSEQ	75601N500	Real Goods Solar, Inc.
RGUS	758943104	REGI U.S., Inc.
RGXX	75955G101	RemeGenix Inc.
RHNO	76218Y202	Rhino Resource Partners LP
RIGG	764069100	Richland Resources International Group,
RIGH	76657X111	RightSmile, Inc.
RINO	766883102	RINO International Corp.
RITE	60313P100	MineralRite Corporation
RITT	M8215Q110	RiT Technologies, Ltd.
RIVT	769648106	Riviera Tool Co.
RLLT	75955E106	Reliant Service Inc
RMHB	77471R207	Rocky Mountain High Brands, Inc.
RMIL	774719108	Rocky Mountain International Ltd.
RMLS	77619T107	Romulus Corp.
RMRI	74967B507	RMR Industrials, Inc.
RMTD	75962A303	Remote Dynamics, Inc.
RNGG	759823206	Reno Gold Corp.
RNWB	75971W205	Renewable Corporation (The)
ROBK	77866T305	Rotate Black, Inc.
ROFO	77316P101	Rockford Corp.
ROMVL	758059307	Redwood Mortgage Investors Limited Partnership
ROSGQ	M82183209	Rosetta Genomics, Ltd.
ROWC	779528108	Rowe Companies (THE)
ROYE	78026P209	Royal Energy Resources, Inc.



SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
ROYTL	694103102	Pacific Coast Oil Trust
RPFQ	75087U101	Rainier Pacific Financial Group, Inc.
RREO	76123W101	Resource Real Estate Opportunity REIT Inc
RRTS	76973Q204	Roadrunner Transportation Systems, Inc.
RSAM	767644107	Rising Sun Bancorp
RSCI	758072102	Redwood Scientific Technologies, Inc.
RSGX	76123F306	Resourcing Solutions Group, Inc. (The)
RSRL	76123B107	Resource Real Estate Opportunity REIT Inc
RSYC	756025102	Real Security Co., Inc. (The)
RTAS	75774J104	REDtone Asia, Inc.
RTNB	776650202	root9B Holdings, Inc.
RTRK	756917100	Red Truck Entertainment, Inc.
RTSO	74978P107	RTS Oil Holdings, Inc.
RTTO	767788102	Rito Group Corp.
RVTI	768027203	Rival Technologies, Inc.
RWCI	749904108	RWC, Inc.
RWFC	75973A102	Renewable Fuel Corp
RYMM	78033P101	Royal Mines & Minerals Corp.
RZZN	47737A207	JiaLiJia Group Corporation, Ltd.
SAAX	66979X105	Nouveau Ventures Inc.
SADL	786327106	Sadlier (WILLIAM H.), Inc.
SAFS	78646A400	Safer Shot, Inc.
SAGD	836301200	South American Gold Corp.
SAYC	16952X200	China Shianyun Group Corp., Ltd.
SBBG	816006308	Seibels Bruce Group, Inc. (THE)
SBOX	86804X104	Superbox, Inc.
SBUM	827453101	Silver Buckle Mines, Inc
SCAL	85857C108	Stem Cell Authority, Ltd.
SCBZ	809135106	Scoobeez Global Inc.
SCDA	05575Y208	B-Scada Inc.
SCNA	83186E101	Smart Cannabis Corp.
SCNG	78409C106	SC Holdings Corp.
SCOO	807864103	School Specialty, Inc.
SCPJ	809163108	Scope Industries
SCRCQ	811066109	ScriptsAmerica Inc

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SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
SCRH	80917W202	Scores Holding Co, Inc.
SDSS	869096107	Suspect Detection Systems, Inc.
SDSYA	83756A109	South Dakota Soybean Processors, LLC
SDVI	82668Y106	Signature Devices, Inc.
SEDN	784109209	SED International Holdings, Inc.
SEEK	88337U102	TheDirectory.com, Inc.
SELR	858199102	Steele Oceanic Corp.
SESI	78412U101	SES Solar, Inc.
SETO	817813108	SETO Holdings, Inc.
SEVM	784811200	STS Evermedia Corp.
SEYE	826918104	Signature Eyewear, Inc.
SFBE	82936F103	Sino Bioenergy Corp.
SFBI	78416X101	SFSB, Inc.
SFHD	444721104	Hui Ying Financial Holdings Corp.
SFHI	849185103	Sports Field Holdings, Inc.
SFIR	793848102	Steadfast Income REIT Inc.
SFIV	81372K105	Sector 5, Inc.
SFPI	802179101	Santa Fe Petroleum, Inc.
SFWJ	83403D100	Software Effective Solutions Corp.
SGBH	38528G107	Grand China Energy Group Ltd
SGER	85928P104	Sterling Energy Resources Inc.
SGIC	86278B109	Strategic Rlty Tr Inc.
SGLA	82936V108	Sino Green Land Corp.
SGOO	833292105	Snoogoo Corp.
SGOU	86336F100	Strong Solutions Inc
SGRZ	861837102	Stonepath Group, Inc.
SGTB	865043103	Suggestion Box, Inc.
SGTI	823214200	Shengtai Pharmaceutical, Inc.
SGTN	83423G109	Soligen Technologies, Inc.
SGUJ	787042209	Saguaro Holdings Corp.
SGYI	86279V104	Strategy International Insurance Group, Inc.
SHDC	868678202	Surety Holdings Corp.
SHGP	82001L100	Sharpe Resources Corp.
SHLDQ	812350106	Sears Holdings Corp.
SIMA	835466103	Sonics & Materials, Inc.

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SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
SIMC	828599100	Simclar, Inc.
SINX	829400100	Sionix Corp.
SITQ	82988R203	SITO Mobile, Ltd.
SIVE	828331108	Silver Verde May Mining Co., Inc.
SIXD	83002F100	6D Global Technologies, Inc.
SKLV	83086C106	SKYE LIFE VENTURES LTD.
SKPI	83083F102	Sky Petroleum, Inc.
SKPN	83086M104	SkyShop Logistics, Inc.
SKSK	83084M205	Skookum Safety Solutions Corp.
SKTP	83087Y107	Skytop Lodge Corp.
SKTPP	83087Y206	Skytop Lodge Corp.
SKVY	81731K101	Sentry Technology Corp.
SKYF	83082Y102	SKY440, Inc.
SLNM	79550B202	Salon Media Group, Inc.
SLOT	79854T206	San Lotus Holding Inc.
SLSR	828284109	Silver Surprize, Inc.
SLTN	828462101	Silverton Energy, Inc.
SLUP	83437C102	SOLUCORP Industries Ltd.
SMAA	78446F203	SMA Alliance, Inc.
SMGE	82662F103	SIGMATA ELECTRONICS INC.
SMRH	868194200	Superior Marketing Research Corp.
SMVS	831699103	Smave Solutions, Inc.
SNAS	82936T103	Sino Assurance, Inc.
SNDZ	86771T102	Sunridge International, Inc.
SNHR	815813100	Seguin Natural Hair Products Inc.
SNLP	87166Q109	Syntrol Corp.
SNNAQ	82622H108	Sienna Biopharmaceuticals, Inc.
SNWV	80303D107	SANUWAVE Health, Inc.
SNYR	87165D109	Synergy CHC Corp.
SOEN	834156101	Solar Enertech Corp.
SOFE	14173W101	CAREPAY INC.
SOFT	834021206	SofTech, Inc.
SOHL	844024109	Southern Trust Securities Holding Corp.
SONR	835410101	Sonar Radio Corporation
SOPK	844649103	SouthPeak Interactive Corp.



SEC Rule 15c2-11 Restricted Securities

Symbol	Cusip	Company Name
SOST	84389Y100	Southern States Sign Co.
SOUG	784666208	Sou 300 Group Holding Co.
SPDC	84764T304	Speed Commerce, Inc.
SPGX	86933P105	Sustainable Projects Group Inc.
SPGZ	84763M201	Spectrum Group International Inc.
SPLY	83362Q209	SocialPlay USA, Inc.
SPMI	84770S209	Speedemissions, Inc.
SPNR	868157405	Superior Energy Services, Inc.
SPQS	84920N100	SportsQuest, Inc.
SPUP	82963W102	Sipup Corp.
SQBGQ	81734P206	Sequential Brands Group Inc. New
SQCC	85221L107	Square Chain Corp.
SQFL	78471E105	SQL Technologies Corp.
SQTI	83417H105	Solar Quartz Technologies, Inc.
SRBT	81946R107	Shanrong Biotechnology Corp.
SRCX	861758308	Stonebridge Resources Explorations Ltd.
SRNW	863101101	Stratos Renewables Corp.
SRSK	855188108	Star Struck, Ltd.
SRSN	862935103	Strategic Resources International, Inc.
SRSR	803720101	Sarissa Resources, Inc.
SSRC	817305105	SentiSearch, Inc.
SSTY	86866W101	SureTrace Security Corp.
SSUR	85771V208	StatSure Diagnostic Systems, Inc.
SSVC	813718103	Secured Services, Inc.
STCC	859240103	Sterling Consolidated Corp
STCI	86880M204	Surge Technologies, Inc.
STCRQ	81578E101	Seertech Corp.
STDE	853373108	Standard Energy Corp.
STFR	85780P101	STEADFAST APT REIT INC
STGGQ	78478D100	STG Group, Inc.
STHC	84129L103	Southcorp Capital, Inc.
STHLW	85801J118	Stearns Holdings LLC.
STIE	802816108	Santaro Interactive Entertainment Co.
STJO	85231M103	St. Joseph, Inc.
STLM	789313103	St. Elmo Silver Mines Corp.



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Symbol	Cusip	Company Name
STMM	85858N202	Stemcell Hldgs Inc.
STNX	85568C207	StarTronix International, Inc.
STRB	86260A101	Strasbaugh
STRI	78478V209	STR Holdings, Inc.
STRZ	855086104	Star Buffet, Inc.
STSC	85571H201	Start Scientific, Inc.
STTH	85788X304	Stealth Technologies, Inc.
STTX	86280B105	Stratex Oil & Gas Holdings, Inc.
STUO	78476C104	STI Group, Inc.
STWC	78485C103	STWC Holdings, Inc.
STZU	86688V109	Sun Tzu Corp.
SVDN	80512A209	Savden Group Corp
SVLT	86803W107	Sunvault Energy, Inc.
SVMN	828437103	Silverore Mines, Inc.
SVNJ	81785L302	727 Communications, Inc.
SVSO	82104Q105	SheerVision, Inc.
SVTE	81761B104	Service Team, Inc.
SWEE	870334109	SweeGen, Inc.
SWGI	85521K106	Star Wealth Group Inc.
SWIOU	84504R105	Southwest Iowa Renewable Energy LLC
SWMD	85570H103	Starwin Media Holdings, Inc.
SWNNU	845125202	Southwest Minn Agrifuels Coop LLC
SWRL	90349P105	U-Swirl, Inc.
SWWI	828815100	Simon Worldwide, Inc.
SXRIU	82962U107	Sioux River Ethanol LLC Cap
SXRRU	82962U206	Sioux River Ethanol LLC Cap
SYEV	818542102	Seychelle Environmental Technologies, Inc.
SYNE	871628301	Synthesis Energy Systems, Inc.
SYPT	81111J104	Scrypt, Inc.
SYQH	53000K104	Liaoning Shuiyun Qinghe Rice Industry Co., Ltd.
TAAI	889864104	Tombao Antiques and Art Group
TAER	876491101	Tarsier Ltd.
TAMG	893775205	Transnational Group, Inc.
TAUX	881031108	Terre Aux Boeufs Land Co., Inc.
TAYO	87690J100	Taylor Consulting, Inc.

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Symbol	Cusip	Company Name
TBEV	42969X306	High Performance Beverages Co
TCAI	00901R100	AIMTC INC.
TCGN	878695105	Technology General Corp.
TCHC	878228105	Tech Central, Inc.
TCOR	89468R108	TreeCon Resources, Inc.
TDXP	37956F102	Global Smart Capital Corp.
TEAH	98163R206	Worry Free Tea House Holdings Company
TEGR	88100A101	Terra Energy & Resource Technologies, Inc.
TEHG	87874X206	Technovative Group, Inc.
TEXG	88078Q302	Terax Energy, Inc.
TFBN	872406301	TFN Football Network
TGMR	89732M109	Troy Gold & Mineral Corp.
TGRR	88680R102	Tiger Reef, Inc.
TGVN	872418207	TGC Ventures International, Inc.
THDS	885793109	3Dshopping.com
THLM	525169207	Lehman (T.H.) & Co., Inc.
THRYW	886029115	Thryv Holdings Inc
TIGE	88674J108	Tigrent Inc.
TIRTZ	886410109	Tidelands Royalty Trust B
TISDZ	894626209	Treasure Island Royalty Trust
TKCI	90042T206	Turnkey Capital, Inc.
TKGL	879100105	TekeGldMpire, Inc.
TKIDU	89776Q107	Tru Kids Parent LLC
TLCO	879292209	Teleconnect, Inc.
TLFA	87538X105	Tandy Leather Factory, Inc.
TLFX	879366201	Telefix Communications Holdings, Inc.
TLIF	888847100	TOCCA Life Holdings, Inc.
TLOG	88165U109	TetraLogic Pharmaceuticals Corporation
TMCV	87972L104	Temecula Valley Bancorp, Inc.
TMEB	88079L104	Terme Bancorp, Inc.
TNTRQ	88770Q105	Tintri, Inc.
TODM	890312101	Tonopah Divide Mining Co.
TOGL	88907T303	Toga Limited
TOOD	883672305	Thermwood Corp.
TOPZ	89054W206	Topaz Resources, Inc.



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Symbol	Cusip	Company Name
TRAA	89237X105	Traack Technologies Inc.
TRAC	891918120	Track Data Corporation, Inc.
TRBX	87262F106	TRB Systems International, Inc.
TRGM	876140104	Targeted Medical Pharma, Inc.
TRII	896699105	Trio Resources, Inc.
TRKR	89234G105	Tracker Corporation of America, Inc. (The)
TRKX	894725308	Trek Resources, Inc.
TRLC	89619H108	TRILINC Global Impact Fund LLC.
TRNF	87621P209	Taronis Fuels, Inc.
TRNX	876214206	Taronis Technologies, Inc.
TROG	896834108	Triumph Oil & Gas Corp.
TRPS	896756103	TRIP TECHNOLOGIES INC.
TRRB	896763109	Tripborn Inc.
TRRI	89656L103	Trinity Resources Inc.
TRTI	893888107	Transtech Industries, Inc.
TRUA	896787108	Triumph Apparel Corp.
TRUL	897889101	Trulite, Inc.
TRXA	89532J108	Trex Acquisition Corp.
TSCC	87872T207	Technology Solutions Company
TSFGN	837841402	South Financial Group, Inc. (The)
TSWT	876533209	Taste Water, Inc.
TTTNW	88162F113	TETRA Technologies, Inc.
TTYP	896556107	Trinity Petroleum Trust
TURA	89990A102	Turbine Aviation, Inc.
TURV	90207B107	Two Rivers Water & Farming Company
TUXS	901144105	Tuxis Corp.
TWAC	873090104	TWA Corp.
TWCI	87309Q107	T.W. Christian, Inc.
TWER	892000308	Towerstream Corp.
TWNE	89213F102	Towne Bancorp, Inc.
TWSI	89678H106	Tristar Wellness Solutions Inc.
TWTG	90215Y107	2020 GENESYSTEMS INC.
TXCCQ	894065309	TranSwitch Corp.
TXGE	88244W100	Texas Gulf Energy, Incorporated.
TXGWU	88289G101	TEXGEN PWR LLC

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Symbol	Cusip	Company Name
TXIC	G8918T103	Tongxin International Ltd.
TYTN	902508209	Tytan Holdings Inc.
UAHC	90934C105	United American Healthcare Corp.
UBQU	903470102	Ubiquitech Software Corp.
UBYH	90347T109	UbuyHoldings, Inc.
UCIX	90420E102	Umbra Companies Inc.
UCTN	12643W206	CTN Media Group, Inc.
UFMG	913708103	Universal Manufacturing Co.
UGHL	905454104	Union Bridge Holdings Limited
ULGX	917273104	Urologix, Inc.
ULUR	90403T308	ULURU Inc.
UMCN	902846104	UMC, Inc.
UMFG	902897107	UMF Group Inc.
UNDR	904346103	UnderSea Recovery Corporation
UNIV	91359N105	Universal Infotainment Systems Corp.
UNXLQ	904572203	Uni-Pixel, Inc.
UPCO	91378T109	Universal Potash Corp.
UPOW	90255W102	UAN Power Corp.
UPRM	90341L102	USA Performance Prods, Inc.
URAL	91134Y202	United Rail, Inc.
USBBU	90351A202	U S Prem Beef LLC
USBC	903326205	US BioTec, Inc.
USBFU	90351A103	U S Prem Beef LLC
USDF	90349R101	US-Dadi Fertilizer Ind International Inc.
USPS	90385W204	Ultimate Sports Inc.
USRC	909203101	Unisource Corp.
USRI	91732A101	USA Recycling Industries, Inc.
USTC	91731C207	USA Real Estate Holding Co
USWF	91274T104	U.S. Wind Farming, Inc.
UTDE	91020R105	United E & P, Inc.
UTNT	903490100	Udata Net Corp.
UTRK	913875100	Universal Tracking Solutions, Inc.
UVFT	918099201	UV Flu Technologies, Inc.
UVSE	91349R208	Universal Energy Corp.
VALV	82321P203	Shengkai Innovations, Inc.



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Symbol	Cusip	Company Name
VBBV	92557J102	Vibe Ventures Inc
VCBDQ	92849U105	Vitalibis, Inc.
VCON	925811200	Vicon Industries, Inc.
VCST	926713108	ViewCast.com, Inc.
VCTL	750875304	Rainmaker Systems, Inc.
VCTM	92643A107	VICTORY COML MGMT INC.
VCYE	92258H108	Velocity Energy Inc.
VEST	92548W105	Vestiage, Inc.
VGTL	925543100	VGTel, Inc.
VICP	92560A106	Vicapsys Life Sciences, Inc.
VIPK	918239203	VIP*ComLink, Inc.
VIZG	92830S101	VisionGlobal Corp.
VLDI	91915P107	Validian Corp.
VMTG	92588J105	Victor Mining Industry Group Inc.
VNTH	63010B200	Nano Mobile Healthcare, Inc.
VOIS	60255A202	Mind Solutions, Inc.
VONI	925104101	Veroni Brands Corporation
VOQP	927625202	VioQuest Pharmaceuticals, Inc.
VPSN	92833C202	Vision Airships, Inc.
VRCM	925117202	VersaCOM International, Inc.
VRCV	922120209	Varca Ventures, Inc.
VRTK	922302104	Vartech Systems Inc.
VRTT	92556J103	Viatar CTC Solutions Inc.
VSMD	92834L102	Vasamed, Inc.
VSPC	92554W106	VIASPACE, Inc.
VSYS	92829M107	Viscount Systems, Inc.
VTEQ	923449300	VeriTeQ Corp.
VTMB	92849G106	Vitamin Blue Inc
VULC	929136109	Vulcan International Corp.
VUOC	929212207	Vu1 Corp.
VVIT	928366202	Vista International Technologies, Inc.
VYDR	92921Y108	Vydrotech, Inc.
WACC	95709H304	WestAmerica Corp.
WBTMU	957255102	Westbrook-Thompson Overriding Royalty Trust
WBWB	98256T200	WU BA Superior Products Holding Group Inc.



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Symbol	Cusip	Company Name
WCBH	94683P101	WCB Holdings, Inc.
WCVC	952213106	West Coast Ventures Group Corp.
WDBG	978887206	Woodbrook Group Holdings Inc.
WDHR	948520101	WeedHire International, Inc.
WEBB	94734A103	Web Blockchain Media, Inc.
WEQL	94973Q108	WellQuest Medical & Wellness Corp.
WGEI	973257108	WindGen Energy Inc.
WGNAP	92923K205	WGNB Corp.
WHCA	97068P109	Williston Holding Company, Inc.
WIRX	97652L100	Wireless Xcessories Group, Inc.
WKLN	931675102	Walker Lane Exploration, Inc.
WLYW	93266D105	Wally World Media Inc.
WMDH	92930D103	WMD Holdings Group, Inc.
WMDL	98157Y100	WorldModal Network Services, Inc.
WNMLA	974259103	Winmill & Co. Incorporated
WNRC	950670307	WENR Corp.
WORC	931013106	Wake Up Now, Inc.
WOWU	92940M101	WOWI, Inc
WPKS	98153N108	World Poker Store, Inc. (The)
WQNI	92932C103	WQN, Inc.
WRGL	936261106	Warrior Girl Corp.
WRIT	982549206	WRIT Media Group, Inc.
WRMA	97654P109	Wiremedia, Inc.
WRMK	94184W100	Watermark Lodging Trust Inc.
WSFGQ	92933E108	WSB Financial Group, Inc.
WSFL	980333207	Woodstock Holdings, Inc.
WSPPU	95921T128	Western Plains Energy LLC
WSTM	44329V103	HRSoft Inc.
WTECQ	957533102	Westech Capital Corp
WTLC	958619108	Western Metals Corp.
WTNW	94114L109	Water Now Inc.
WTRYU	95921T102	Western Plains Energy LLC
WTXR	95628Q104	West Texas Resources, Inc.
WUYI	16954D103	China WuYi Mountain, Ltd.
WVAW	956721104	West Virginia-American Water Co.

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Symbol	Cusip	Company Name
WWDH	98159N102	Worldwide Holdings Corp.
WWIO	98212D307	Wowio Inc
WWPW	97315W105	Wind Works Power Corp.
WWRL	98155B102	World Wireless Communications Inc.
WXMN	944124502	Waxman Industries, Inc.
XBOR	227443108	Cross Border Resources, Inc.
XCLL	98400L103	XcelMobility Inc.
XCOMQ	98415E300	Xtera Communications, Inc.
XFCH	98371X102	X-Factor Communications Holdings, Inc.
XFTB	98420R106	XFIT BRANDS INC
XLPI	98389V304	Xcelplus International, Inc.
XMEX	98401C102	Xemex Group Inc.
XNDA	98421H107	Xinda International Corp.
XNNHQ	984117101	Xenonics Holdings, Inc.
XRXH	98386B103	XRX International Entertainment Holding Group
XTEG	98421D106	XT Energy Group Inc.
YDVL	984313205	Yadkin Valley Company
YGYI	987537206	Youngevity International, Inc.
YGYIP	987537305	Youngevity International, Inc.
YIPI	98584Y202	Yippy, Inc.
YITD	98584V109	Yinhang Internet Technologies Development, Inc.
YORI	98615M201	Yora International, Inc.
YPPN	98484T204	Yappn Corp.
YSGG	68248J107	1399 INTERNET TECHNOLOGY APPLICATION GROUP INC.
YUMAQ	98872F204	Yuma Energy, Inc.
YUSG	98871Y105	YUS International Group Ltd
ZAHA	988850103	Zahav, Inc.
ZCBD	09700K109	Body Basics Inc.
ZCOM	45320V109	Impreso, Inc.
ZDEC	98942V106	Zenovia Digital Exchange Corporation
ZENO	989424205	Zenosense, Inc.
ZHEC	98878H105	ZHRH Corporation
ZHUD	98954V101	Zhuding International Limited
ZIPL	989741103	ZipLink, Inc.
ZNAE	989012208	Zane Interactive Publishing Inc.



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Symbol	Cusip	Company Name
ZNRG	98978P106	Znergy, Inc.
ZONX	98979L104	Zonzia Media, Inc.
ZPAS	98979T206	Zoompass Holdings, Inc.
ZULU	989778204	Zulu Tek, Inc.
ZVLO	989826102	zvelo, Inc.
ZWBC	38146N104	GoldKey Corporation
ZYJT	98955J107	Zhong Ya International Limited
ZYQG	988802104	ZYQC Group Holding Limited

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