

Substitute W-8BEN

Department of the Treasury Internal Revenue Service

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding

For use by individuals. Entities must use Form W-8BEN-E. Give this form to the withholding agent or payer. Do not send to the IRS.

tdameritrade.com

TD Ameritrade 200 S 108th Ave Omaha, NE 68154-2631

For complete instructions or more information on the W-8 forms, please refer to www.irs.gov/formw8ben. If you have any other questions regarding your application or account, please contact TD Ameritrade. Note: If you are resident in a FATCA partner jurisdiction (i.e., a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

Part I Identification of Beneficial Owner (See instructions.)

Form with fields for: 1 Name of individual who is the beneficial owner, 2 Country of citizenship, 3 Permanent residence address, 4 Mailing address, 5 U.S. taxpayer identification number, 6a Foreign tax identifying number, 6b Check if FTIN not legally required, 7 TD Ameritrade Account Number, 8 Date of birth.

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of... within the meaning of the income tax treaty between the United States and that country. 10 Special rates and conditions (if applicable - see instructions): The beneficial owner is claiming the provisions of Article and paragraph... of the treaty identified on line 9 above to claim a...% rate of withholding on (specify type of income):... Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding:...

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself for chapter 4 purposes,
The person named on line 1 of this form is not a U.S. person,
This form relates to: (a) income not effectively connected with the conduct of a trade or business in the United States; (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty; (c) the partner's share of a partnership's effectively connected taxable income; or (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

The Internal Revenue Service does not require your consent to any provisions of this document other than the certifications required to establish your status as a non-U.S. person and, if applicable, obtain a reduced rate of withholding.

I certify that I have the capacity to sign for the person identified on line 1 of this form.

Sign Here

Signature of beneficial owner (or individual authorized to sign for beneficial owner) Date (MM-DD-YYYY) Print name of signer

Affidavit of Unchanged Status (if applicable)

Under penalties of perjury, I declare that I have examined and signed the above Form W-8BEN and that the information and certifications contained therein remained the same and unchanged for the period beginning January 1, of this year (or later account opening date) to the present, and were true, correct, and complete during that period.

Signature: Signature Capacity:

Please fax all requested paperwork to 866-468-6268 or attach to an email through the Secure Message Center. If mailing is preferred, send original W-8BEN to the address in the upper right hand corner.

Investment Products: Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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