



Transfer Out - Direct Registration System (DRS) and Certificate Request

200 S 108th Ave ■ Omaha, NE 68154-2631
PO Box 2226 ■ Omaha, NE 68103-2226
Fax: 800-914-8979

Please return this form to TD Ameritrade Clearing, Inc., by mail, fax, or as an attachment to a secure email sent from your TD Ameritrade account. A \$500 fee is assessed for each certificate delivered. An additional \$500 fee may be charged for securities eligible but not participating in the Direct Registration System (DRS). Please allow approximately four to six weeks for the Transfer Agent to generate and deliver the certificate.

Securities that are DRS eligible will be sent to the transfer agent. There is no fee for a DRS transfer out. A certificate request can be made to the transfer agent once the transfer is completed. Please note, some stock issuers no longer provide certificates.

1. ACCOUNT INFORMATION

Account Number:

Number of Shares:	Security:
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Enter transfer agent account number if known:

2. RECIPIENT INFORMATION

Primary Owner/Trustee:		Co-owner/Co-trustee:	
U.S. Social Security or Tax ID Number (TIN):	Date of Birth: (MM-DD-YYYY)	U.S. Social Security or Tax ID Number (TIN):	Date of Birth: (MM-DD-YYYY)
Home Address: (no PO box or mail drop)			
City:	State:	ZIP Code:	

Trust Name and Under Agreement Date (If Applicable):

3. IF THE RECIPIENT IS A MINOR, A CUSTODIAN MUST BE APPOINTED.

Full Legal Name:

Date of Birth: (MM-DD-YYYY)	U.S. Social Security Number: (SSN)	
Home Address: (no PO box or mail drop)		
City:	State:	ZIP Code:

4. SHIP TO ADDRESS

Name:

Mailing Address:

City:	State:	ZIP Code:
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5. AUTHORIZATION SIGNATURE(S)

<input checked="" type="checkbox"/> Account Owner's Signature:	Date:
<input checked="" type="checkbox"/> Account Co-Owner's Signature:	Date:

Only one signature is required from an owner of Joint Tenant or Tenant by Entirety accounts. All owners of other types of accounts are required to sign.

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

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