

PO Box 2760 ■ Omaha, NE 68103-2760
 Fax: 866-468-6268

Save time and update online. For your convenience, most information can be updated online. Log in to your account and then click "My Profile," then click "Personal Information" or "Investor Profile," and make updates.

Please write your account number (required) and updates in the fields below. Once you've finished adding updates, please sign the form, then return it by fax or mail. **Fax:** 866-468-6268 **Mail to:** TD Ameritrade, PO Box 2760, Omaha, NE 68103-2760

ACCOUNT INFORMATION (please use a separate form for each owner)

Account Number (required):

Name Prefix (optional): Mr. Mrs. Ms. Dr. Rev.

Full Legal Name/Account Title (required):

Home Address:
 (no PO Box or Mail Drop)

City:	State:	Zip Code:	Country:
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Mailing Address:
 (if different from Home Address)

City:	State:	Zip Code:	Country:
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Primary Phone:	<input type="checkbox"/> Check here if this is not a U.S. phone number	Marital Status: <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Widowed
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Primary Email Address:	Number of dependents:
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Employment Status:
 Employed Homemaker Retired Self-Employed Student Unemployed

Employer Name:
 (if self-employed, provide the name of your business and industry)

Please choose from the list provided on page 5 the occupation code and industry of occupation code that most accurately describes your situation.
 Occupation Code: _____ Industry of Occupation Code: _____

Employer Address:

City:	State:	Zip Code:	Country:
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AFFILIATIONS

Are you, your spouse, any member of their immediate families living in the same household, including parents, in-laws, siblings, and dependents a director, 10% shareholder, or policy-making officer of a publicly traded company? Yes No
 If yes, provide the company name, ticker symbol, address, city and state:

Are you, your spouse, any member of their immediate families living in the same household, including parents, in-laws, siblings, and dependents licensed, employed by, or associated with, a broker-dealer firm, a financial services regulator, securities exchange, or member of a securities exchange? Yes No
 If yes, provide the business name and address (including city, state, and Zip Code) if different from employer address above:

OTHER PARTIES

Important: Please contact us with any updates to information for Authorized Agents/Control Person/Beneficial Owner(s).

- **Secure email:** Log in to your account and click "Client Services," then "Message Center," then "Compose," to write us.
- **Phone:** Call a Client Services representative at 800-669-3900. We're available 24 hours a day, seven days a week.



INVESTMENT OBJECTIVES

Select the degree of risk you are willing to take with the assets in this account:

- Conservative Moderate Aggressive Speculative

Select the primary investment objective for the account:

- Conservation Moderate Moderate Growth Growth Aggressive growth

Select the secondary investment objectives for the account:

(Check at least one or all that apply.) Conservation Moderate Moderate Growth Growth Aggressive growth None

Select your liquidity needs for this account:

(Check only one that applies.) Within 3 months 4 - 6 months 7 - 9 months 10 - 12 months More than 1 year

Select the investment time horizon for this account:

- Less than 1 year 1 - 3 years 4 - 6 years 7 - 9 years 10 - 12 years 13 years or more

FINANCIAL INFORMATION

Important: Updates to financial information may result in a change to the level of options trading approved, if your account is presently approved for options trading.

Annual income:

- \$0 - 24,999 \$25,000 - 49,999 \$50,000 - 99,999 \$100,000 - 249,999 \$250,000+

Approximate net worth

(not including primary residence): \$0 - 14,999 \$15,000 - 49,999 \$50,000 - 99,999 \$100,000 - 249,999
 \$250,000 - 499,999 \$500,000 - 999,999 \$1,000,000 - 1,999,999 \$2,000,000+

Approximate liquid net worth

(cash, stocks, etc.): \$0 - 14,999 \$15,000 - 49,999 \$50,000 - 99,999 \$100,000 - 249,999
 \$250,000 - 499,999 \$500,000 - 999,999 \$1,000,000 - 1,999,999 \$2,000,000+

What best describes the initial source of funds for this account?

- Employment/Wages Retirement Funds Gift Savings
 Inheritance/Trust Investments Unemployment/Disability Legal Settlement
 Lottery/Gaming Spousal/Parental Support Other (describe source of funds): _____

What best describes the ongoing source of funds for this account?

- Employment/Wages Retirement Funds Gift Savings
 Inheritance/Trust Investments Unemployment/Disability Legal Settlement
 Lottery/Gaming Spousal/Parental Support Other (describe source of funds): _____

INVESTMENT INFORMATION

Select the investments you hold that are not in a TD Ameritrade account: Stocks Bonds Options Mutual Funds / ETFs Futures / Forex
 (Check all that apply.) Cash alternatives None Real estate Annuities Alternative investments Others

Select your federal tax rate:

- 10% 12% 22% 24% 32% 35% 37% U.S. taxes do not apply

Select your years of investment experience for each investment type:

	Stocks	Bonds	Options	Cash alternatives	Futures / Forex	Mutual Funds / ETFs	Real estate	Annuities	Alternative investments
1 - 5 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
More than 5 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
None	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

OPTIONS OBJECTIVES (only applicable if your account is presently approved for options trading)

Select years of investment experience:

- Less than 1 year 1 - 2 years 3 - 5 years 6 - 9 years 10 years or more

Select your investment knowledge:

- Limited Good Extensive Professional

Select the type of transactions in your options account:
 (Check all that apply.) Bonds Options Stocks

Select your investment objectives for trading options:
 (Check all that apply.) Growth Speculation Income Conservation of capital

Select the type of activity you conduct in your options account:

- Tier 1 - Covered:**
 Write covered calls
 Write cash-secured puts

- Tier 2 - Standard Cash:**
 Purchase options
 + Tier 1 - Covered

- Tier 2 - Standard Margin:**
 Create spreads
 Write covered puts
 + Tier 2 - Standard Cash

- Tier 3 - Advanced:**
 Write uncovered options
 + Tier 2 - Standard Margin

MARGIN REQUIRED

Tier 2 - Standard Margin and Tier 3 - Advanced require a margin account. If you select either of these tiers, you will automatically be applying for options and margin approval.

Important: Updates to options information are not considered an application for options trading.

If you wish to apply for margin and/or options, please apply online or complete a Margin/Options Upgrade Form TDA 2334 located within the forms library: <https://tdameritrade.com/form-library>.

Options involve risks and are not suitable for all investors.

TRUSTED CONTACT (Optional)

By completing this section, you authorize TD Ameritrade to contact the person(s) named below for the following reasons: if there are questions or concerns about my whereabouts or health status; if TD Ameritrade suspects that I may be a victim of fraud or financial exploitation; if TD Ameritrade suspects that I might no longer be able to handle my financial affairs; to confirm the identity of any legal guardian, executor, trustee, authorized trader, or holder of a power of attorney; or if TD Ameritrade has any other concerns or is unable to contact me about my account(s) held at TD Ameritrade. **Please review the Client Agreement for the full terms and conditions regarding how TD Ameritrade uses this information.**

NOTE: Your Trusted Contact must be someone other than an account owner. You may provide more than two Trusted Contact Persons by completing and signing additional Authorization Forms.

First Name:	Middle Initial:	Last Name:
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Relationship:

Primary Telephone Number:	Email Address:
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Mailing Address:

City:	State:	Zip Code:	Country:
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First Name:	Middle Initial:	Last Name:
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Relationship:

Primary Telephone Number:	Email Address:
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Mailing Address:

City:	State:	Zip Code:	Country:
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SIGNATURE

Only the Account Owner who is providing information to update their account profile must sign this form.

I acknowledge that I have provided information to update only my account profile.

X Account Owner's or Co-Owner's signature:

Account Owner's or Co-Owner's printed name:

Date:

Original Signatures required; electronic signatures and/or signature fonts are not authorized.

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

INVESTMENT OBJECTIVES DEFINITIONS

Conservation:

Reflects your desire to seek very low risk and minimize potential loss of principal. You may seek income from your investments while understanding that returns may not keep pace with inflation. You may also intend to invest over a short period of time.

Moderate:

Reflects your desire to seek lower risk and less fluctuation in your portfolio, while striving to achieve more stable returns or income from your investments. You may also intend to invest over a short period of time.

Moderate Growth:

Reflects your desire to seek growth in your portfolio by typically using a balance of growth and conservative investment types. It may also mean that you are moderately tolerant of risk and plan to invest for a medium to long period of time.

Growth:

Reflects your desire to seek the potential for investment growth, as well as your tolerance for more significant market fluctuations and risk of loss. It may also mean that you plan to invest over a long period of time.

Aggressive Growth:

Reflects your desire for potentially substantial investment growth, as well as your tolerance for large market fluctuations and increased risk of loss. It may also mean that you plan to invest over a long period of time.

OPTIONS OBJECTIVES DEFINITIONS

Growth:

Investors are seeking the potential for investment growth and have a tolerance for more significant market fluctuations and risk of loss.

Speculation:

Investors are seeking short-term market gains that generally have above average, maximum risk, but offer the potential for short-term, maximum gains. These strategies also have the potential for significant losses and investors understand they could lose most, or all, of the money they have invested.

Income:

Investors are seeking income with a modest degree of risk. These investors are typically willing to accept lower potential returns in exchange for lower risk and volatility, and understand their returns may not keep pace with inflation.

Conservation of Capital:

Investors are seeking to avoid risk and minimize potential loss of principal.

Occupation Codes

A42 Accountant/Auditor/Bookkeeper	C82 Compliance/Regulatory Professional	N21 Nurse
A62 Adjuster	C92 Consultant	O11 Office Associate
A82 Advertiser/Marketer/PR Professional	C43 Counselor/Therapist	O21 Other; If Other, include a description in the Occupation box.
A33 Air Traffic Controller	C53 Customer Service Representative	P81 Pharmacist
A43 Ambassador/Consulate Professional	D11 Dealer	P91 Physical Therapist
A53 Analyst	D61 Dentist	P22 Pilot
A63 Appraiser	D31 Distributor	P32 Police Officer/Firefighter/Law Enforcement Professional
A73 Architect/Designer	D41 Doctor/Surgeon/Physician	P42 Politician
A83 Artist/Performer/Actor/Dancer	D51 Driver	P52 Project Manager
A93 Assistant/Executive Assistant	E51 Engineer	R81 Real Estate Professional
A44 Athlete	E71 Exterminator	R71 Researcher
A64 Attorney/Judge/Legal Professional	F71 Factory/Warehouse Worker	S41 Salesperson
A74 Auctioneer	F81 Farmer/Rancher	S51 Scientist
L51 Banker/Lending Professional	F91 Financial Planner/Advisor	S61 Seamstress/Tailor
B21 Barber/Beautician/Hairstylist	F22 Flight Attendant	S71 Security Guard
B31 Broker/Registered Rep	F32 Human Resources Professional	S81 Social Worker
B41 Business Executive (VP, Director, etc.)	I41 Importer/Exporter	T41 Teacher/Professor
B51 Business Owner	I51 Inspector/Investigator	T51 Technician
C81 Caregiver	I81 Investor	T61 Teller
C91 Carpenter/Construction Worker/Contractor	I91 IT Professional/IT Associate	T71 Tradesperson/Craftsperson
C22 Cashier	J31 Janitor	T81 Trainer/Instructor
C32 Chef/Cook	J41 Jeweler	U21 Underwriter
C42 Chiropractor	L31 Laborer	V11 Veterinarian
C52 Civil Servant	L41 Landscaper	W21 Writer/Journalist/Editor
C62 Clergy	M91 Mechanic	
C72 Clerk	M22 Military, Officer or Associated	
	M32 Mortician/Funeral Director	

Industry of Occupation Codes

A11 Accounting	F11 Fashion/Clothing	O31 Other; If Other, include a description in the Industry of Occupation box
A21 Advertising/Marketing	F21 Financial Services	P11 Parking and Car Washes
A31 Aerospace/Defense	F51 Firearms and Explosives	P21 Pawn Shops/Brokers
A41 Agriculture/Forestry	G11 Gaming/Casino/Card Club	P31 Personal Care/Hygiene (Beauty, Salon, Cosmetics, Massage, etc.)
A51 Amusement and Recreation	G21 Government/Public Administration	P41 Pharmaceuticals
A61 Animal Services and Veterinary	G31 Grocery/Supermarket	P51 Printing/Publishing
A71 Architecture/Design	H11 Healthcare/Medical Services	P71 Professional/Civic Organizations (Non-Retail)
A81 Arts/Antiques	H21 Hotel/Hospitality	R11 Real Estate
A91 Athletics/Fitness	I11 Import/Export	R21 Religious Organization
A32 Automotive	I21 Information Technology (IT)	R31 Repair Services - Home, Auto, and Other
B11 Aviation	I31 Insurance	R41 Restaurant/Food Service
C11 Bar/Nightclub/Adult Entertainment Club	J11 Jewelry, Gems, and Precious Metals	R51 Retail Sales/Retail Trade
C21 Childcare	L11 Legal Services/Public Safety	S11 Science and Biotechnology
C31 Cleaning/Janitorial/Housekeeping	L21 Logistics/Supply Chain	S21 Security
C41 Communications/Telecommunications	M11 Manufacturing	T11 Transportation
C51 Construction/Carpentry/Landscaping	M21 Maritime	T31 Travel
C61 Convenience Store/Liquor Store/Gas Station	M31 Media/Entertainment	U11 Utilities (Public)
C71 Customer Service and Support	M41 Mining, Oil, and Gas	W11 Wholesale Sales/Trade
E11 Education	M51 Money Services Businesses (Check Cashing, Money Transmitting, Payday Loans, Currency Exchange)	
E21 Embassy/Consulate	N11 Non-Profit/NGO (Non-Government Agency)/Charity	
E31 Energy		
E41 Engineering		