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Complete the required fields below if you wish to change the current status of an account to professional or nonprofessional status. The form must be signed by an account owner or authorized agent on the account. Completed forms may be sent to the address or fax number provided above.

You may also update your professional status online. Log in to your account and click "My Profile," select the "General" tab, and then go to "Exchange agreements" and click on "Edit."

You will need to sign the electronic exchange agreements under your newly updated status in order to receive real-time quotes.

**Questions? Call a Client Services representative at 800-669-3900.**

TD Ameritrade is hereby authorized to change the current status of the following account due to either status change or incorrect declaration.

Account Number:

Account Title:

Full Legal Name:

Phone Number:

Taxpayer ID:

New Status:  Professional  Nonprofessional

It is understood that, as in accordance with the securities exchanges' definitions, an account qualifies as a professional if any of the following is true:

1. The account was opened either in the name of an entity or for business use. Account types considered professional include:
  - Corporate
  - Sole Proprietorship
  - Partnership
  - Limited Liability
  - Foreign Corporate
  - Foreign Limited Liability
  - Foreign Partnership
2. An account owner is registered or qualified with:
  - The SEC or FINRA
  - The Commodity Futures Trading Commission
  - Any state securities agency
  - Any securities exchange/association
  - Any commodities or futures contract market or association
3. An account owner is engaged as an "investment advisor" as that term is defined in Section 201(11) of the Investment Advisers Act of 1940 (whether or not registered or qualified under that Act).
4. An account owner is employed at a bank or any other financial institution that is exempt from securities registration and performs functions that would require registration or qualification if such functions were performed for an organization that was not exempt.

It is also understood that an account that does not qualify as professional as defined above qualifies as a nonprofessional.

It is also understood that the securities exchanges require that TD Ameritrade be notified in the future should the above account's status change again.

 Signature:

Date:

**Original signatures required, electronic and/or signature fonts are not authorized.**

Investment Products: Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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