

Roth Conversion Form



Return Options:

Electronically via Message Center:

Log in and go to Client Services > Message Center to attach the file

Regular Mail:

PO Box 2760, Omaha, NE 68103-2760

Overnight Mail:

200 South 108th Avenue
Omaha, NE 68154-2631

Fax: 866-468-6268

Questions? Call an IRA representative at 888-723-8504, option 2.

1

Account Owner Information

Full Legal Name:

Date of Birth: (MM-DD-YYYY)

U.S. Social Security Number: (SSN)

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Address of Record:

City:

State:

Zip Code:

Primary Phone:

Email Address:

Should TD Ameritrade need to contact you in regards to this request, your preferred method of contact is: Email Primary Phone

2

Conversion to ROTH IRA

Convert my IRA number _____ to my Roth IRA number _____

I have satisfied my Required Minimum Distribution

If you will reach RMD age or older by December 31 of the current year, a Required Minimum Distribution (RMD) must be satisfied prior to requesting a Roth conversion. RMD age is 70 ½ if born on or before June 30, 1949. RMD age is 72 if born after June 30, 1949. A valid Roth IRA is required to be established prior to completing a Roth conversion.

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Conversion Information

I wish to convert my entire account to my Roth IRA.

Partial conversion of the following assets:

Cash in the amount of \$ _____



Section 3, Conversion Information continued

| Quantity of Shares | Name of Asset |
|--------------------|---------------|
| _____ | _____ |
| _____ | _____ |
| _____ | _____ |

The decision to convert all or a portion of an IRA is irrevocable after the conversion has been completed.

4

Tax Withholding Election (Please indicate by checking a box for Federal and State.)

For nonperiodic payments, the default withholding rate is 10%. You can choose to have a different rate by entering a rate between 0% and 100%. Generally, you can't choose less than 10% for payments to be delivered outside the United States and its possessions.

A. Federal Withholding:

Please note: If no withholding is indicated, we will not withhold federal taxes.

Form W-4R/OMB No. 1545-0074 Dept. of Treasury, Internal Revenue Service

Please withhold taxes from my distribution at a rate of: _____% . (Enter rate as a whole number between 0%-100%, no decimals). (Please note: Any amounts withheld for taxes and not replaced into an IRA account within 60 days will be considered a distribution and may be subject to a 10% early withdrawal penalty if you are under age 59½.)

For more information on IRS withholding tables and instructions on Form W-4R/OMB No. 1545-0074 Dept. of Treasury, Internal Revenue Service and the related worksheets, please visit irs.gov/pub/irs-pdf/fw4r.pdf. For your convenience, a federal income tax withholding calculator can be found at irs.gov/W4App.

2022 Federal Marginal Rate Tables

You may use these tables to help you select the appropriate withholding rate for this payment or distribution. Add your income from all sources and use the column that matches your filing status to find the corresponding rate of withholding. See page 2 for more information on how to use this table.

| Single or Married filing separately | | Married filing jointly or Qualifying widow(er) | | Head of household | |
|-------------------------------------|--------------------------------|--|--------------------------------|--------------------|--------------------------------|
| Total income over— | Tax rate for every dollar more | Total income over— | Tax rate for every dollar more | Total income over— | Tax rate for every dollar more |
| \$0 | 0% | \$0 | 0% | \$0 | 0% |
| 12,950 | 10% | 25,900 | 10% | 19,400 | 10% |
| 23,225 | 12% | 46,450 | 12% | 34,050 | 12% |
| 54,725 | 22% | 109,450 | 22% | 75,300 | 22% |
| 102,025 | 24% | 204,050 | 24% | 108,450 | 24% |
| 183,000 | 32% | 366,000 | 32% | 189,450 | 32% |
| 228,900 | 35% | 457,800 | 35% | 235,350 | 35% |
| 552,850* | 37% | 673,750 | 37% | 559,300 | 37% |

* If married filing separately, use \$336,875 instead for this 37% rate.

B. State Withholding:

Please note: If no withholding is indicated, we will not withhold state taxes.

Please withhold taxes from my distribution at a rate of: _____% or \$ _____.

I declare my permanent state of residence is (state): _____, and that my election should reflect the requirements of that state.

Please note: TD Ameritrade Clearing, Inc. advises you to contact your tax professional before making any election regarding state withholding elections. State law is subject to change, and TD Ameritrade Clearing, Inc. is not responsible for changes in state law that occur after the publication date of this form.

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Signature of IRA Participant

I understand that, subject to the provisions of the Agreement, I have full discretion and control over the form of payment or payments of the entire balance in the Account. I shall exercise control by directing that such payment or payments be made as described above, and the Custodian shall have no responsibility or liability with respect to the choice of any such form of payment or payments. I attest that I am the proper party to receive payment(s) from this IRA and that all information provided by me on this form, including supplemental material, is true and accurate. I certify that no tax advice has been given to me by TD Ameritrade Clearing, Inc. and that all decisions regarding this withdrawal are my own. I expressly assume responsibility for any adverse consequences which may result from the withdrawal; and I indemnify and hold harmless TD Ameritrade, Inc. and TD Ameritrade Clearing, Inc. and their divisions, officers, employees, directors, representatives, owners, affiliates, successors, and assigns. Please note if no date is indicated below, the withholding election will default to receipt date of the form. **By my signature below, unless otherwise indicated above, I expressly waive withholding for federal and state income taxes.**

Sign Here

Account Owner's Signature:

X

Date:

| | | | | | | | | | | | | | | | |
|--|--|--|--|---|--|--|--|--|--|--|--|--|--|--|--|
| | | | | - | | | | | | | | | | | |
|--|--|--|--|---|--|--|--|--|--|--|--|--|--|--|--|

Original signature are required; electronic signatures and/or signature fonts are not authorized

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

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