

PO Box 2209 • Omaha, NE 68103-2209

In case of any discrepancy between the English and Chinese version of this statement, the English version shall prevail.

TD Ameritrade is committed to providing our clients with secure and reliable access to their accounts. This commitment includes providing information regarding plans to address disruptions in our business operations due to power outages, natural disasters, or other significant events. In the event of a disruption, TD Ameritrade has a Business Continuity Plan that is intended to permit the firm to maintain business operations such as processing client orders and transactions, providing access to cash and securities, and providing access to information about balances and transactions in client accounts. Examples of how TD Ameritrade's plan addresses disruptions of varying scope and magnitude include:

- Disruption of service at any of our service centers will result in calls, orders, and electronic communications being rerouted to an alternative service center located in a different region of the country with a separate power grid and transportation system.
- A significant disruption in our primary data center will result in a transition to systems at an alternate data center. Our data centers are each supported by backup power generators and are located in different regions of the country with different power grids and transportation networks.

Although TD Ameritrade has taken significant steps to develop and implement sound business recovery practices, after a significant business disruption, clients may still experience service disruptions, or reduced services or access as the firm recovers. We continually assess, update, and test our plans to ensure that TD Ameritrade plans are robust and are consistent with many of the best practices in the industry. Any modifications to our plan will be reflected in this Business Continuity Plan Statement, which will be posted on our website, or you may obtain a current version of this Statement by writing us at TD Ameritrade, Inc., Compliance Department, P.O. Box 2148, Omaha, NE 68103-2148.

若本声明的英文与中文版本内容有任何差异，以英文版本为准。

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- 发生在我们任何一个服务中心的服务中断都会导致电话、订单和电子通讯被转到位于国内不同地区的替代服务中心，他们具有单独的电网和运输系统。
- 发生在我们主要数据中心的严重中断会导致系统转换至备用数据中心的。我们的数据中心分别由备用发电机提供支持，且位于国内不同的地区，并且拥有不同的电网和运输网络。

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Investment Products:
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