

标准零售定价： 佣金、利率与收费



联系信息:

登入并进入客户服务>信息中心，
以联系我们。

邮寄地址:

PO Box 2760, Omaha, NE 68103-2760

如果表格中的任何中文与英文不符，则以英文版为准。

我们低廉且直截了当的网上交易佣金让您专注于您投资策略的执行.....而不是计算费用。

佣金

股票和ETF

交易无限制股数(市价或限价单)	价格
网上交易	\$ 0.00*
电话通下单系统(IVR)	\$ 5.00
经纪人协助下单	\$25.00

*注意:在美国交易所上市的股票和ETF网上交易的佣金为0.00美元。场外交易(OTC)股票(未在美国证券交易所上市的股票)的在线交易收取 6.95美元佣金。

在同一交易日以多笔执行的订单将收取一次佣金。当订单在多个交易日内部分被执行时，订单将按每个交易日分别收取佣金。

德美利证券从参与免佣金ETF计划的特定ETF获得提供股东、行政和/或其他服务的酬金。

共同基金

基金类型	价格
无交易费(NTF)基金	无佣金
无销售费(No-Load)基金	\$49.99
销售费(Load) 基金	无佣金*

*基金家族将按照基金公开说明书中的详述收取费用。

如果持有无交易费(NTF)共同基金(除了ProFunds和 Rydex外)少于或等于180天，将会产生\$49.99的短期赎回费。这笔费用是在任何适用交易费用和公开说明书中列出费用之外的额外收费。

德美利证券从包括参与其无销售费(no-load)、无交易费(NTF)计划在内的基金公司获得保存记录、股东服务以及其他行政和分配服务的酬金。德美利证券从这些服务中获取的酬金数额部分取决于德美利证券客户对这些基金的投资数量。若通过德美利证券持续投资无交易费(NTF)基金和其他基金还有其他费用和收费，这些在公开说明书中有所描述。

期权

股票或指数期权、市价和限价单	价格
网上交易	\$ 0.00+ \$0.65每一合约
电话通下单系统(IVR)	\$ 5.00+ \$0.65 每一合约
经纪人协助下单	\$25.00+ \$0.65 每一合约

请注意：无行使和指派费用。期权涉及风险，并不适合所有投资者。

指数期权费用

底层代号	描述	权利金	到期日	每张合约费用
SPX	S&P 500指数	<\$1	每月	\$0.57
SPX	S&P 500指数	>=\$1	每月	\$0.66
SPXW	S&P 500指数	<\$1	每周/每季度	\$0.49
SPXW	S&P 500指数	>=\$1	每周/每季度	\$0.58
OEX	S&P 100指数 (美式行权)	全部	每月/每季度	\$0.40
OEX	S&P 100指数 (美式行权)	全部	每周	\$0.30
XEO	S&P 100指数 (欧式行权)	全部	每月/每季度	\$0.40
XEO	S&P 100指数 (欧式行权)	全部	每周	\$0.30
*VIX - Simple	*CBOE市场波动指数 - 简单期权	<=\$0.10	全部	\$0.10
*VIX - Complex	*CBOE市场波动指数 - 复杂期权	<=\$0.10	全部	\$0.05
*VIX - Simple	*CBOE市场波动指数 - 简单期权	\$0.11-\$0.99	全部	\$0.25
*VIX - Complex	*CBOE市场波动指数 - 复杂期权	\$0.11-\$0.99	全部	\$0.17
*VIX - Simple	*CBOE市场波动指数 - 简单期权	\$1-\$1.99	全部	\$0.40
*VIX - Complex	*CBOE市场波动指数 - 复杂期权	\$1-\$1.99	全部	\$0.30
*VIX - Simple	*CBOE市场波动指数 - 简单期权	>=\$2	全部	\$0.45
*VIX - Complex	*CBOE市场波动指数 - 复杂期权	>=\$2	全部	\$0.45
RUT	Russell 2000 Index / Russell 2000 指数	全部	全部	\$0.18

请注意：指数期权费用是与专有指数期权产品（由芝加哥期权交易所CBOE提供）相关的费用，如有更改，恕不另行通知。您也可以在www.cboe.com上找到CBOE期权交易费用表的副本。

*从2020年4月1日起，德美利证券账户中收取的VIX指数期权费将按简单和复杂期权进行区分。在此日期之前，将收取这两种费用中的较低者。

简单期权：仅以单一行使价和到期日买卖期权。

复杂期权：同时买入和/或卖出两个或多个期权，并其行使价和/或到期日不止一个。

债券和定期存单(CD)

产品类型	价格
拍卖的美国国债	如提供则免佣金
债券和定期存单交易	以净收益率为基础

德美利证券可作为任何固定收益交易的主委托人。作为主委托人，我们将从任何买入中加价，并从每笔卖出中减价。此加价或减价将包含在给您的报价中。

现金转存计划账户利率**

德美利证券FDIC保险的存款账户(IDA)利率

有关当前利率，请致电800-669-3900，中文服务：877-888-1238或访问德美利证券网站：https://www.tdameritrade.com/zh_CN/pricing/margin-and-interest-rates.page。如有更改，恕不提前通知。

德美利证券现金利率

有关当前利率，请致电800-669-3900，中文服务：877-888-1238或访问德美利证券网站：https://www.tdameritrade.com/zh_CN/pricing/margin-and-interest-rates.page。如有更改，恕不提前通知。

** IDA和德美利证券现金余额支付的利息是根据层级。前一天收盘的余额决定每天特定层级的资格。利息根据适用于每天余额的利率等级每日累计，并在每月的最后一个工作日记入账户。根据客户协议，德美利证券可能会自行决定更改层级和利率，恕不另行通知，且德美利证券没有义务支付层级间的利率差异。利率变化可能因计划、地点或安排而异。

德美利证券从IDA计划银行收取1.3至1.7%的费用。德美利证券有权放弃全部或部分费用。德美利证券收到的利率可能超过您在IDA中收到的利率或有效收益率，且费用的支付会降低您收到的收益率。除了经纪账户收取适用的费用外，您的账户不会被收取与转存选项相关的任何收费、费用或佣金。目前的IDA利率将在网站上公布，并可能在没有事先通知的情况下更改。

收费表

服务费†

提取凭证 递送††	价格 \$500
支票 重订支票簿 支票副本	免费/100 · IRA账户 - 免费/20 \$15
杂项 隔日快递 停止付款	按成本收费 \$25
重组 强制性重组 自愿性要约收购	\$38 \$38
账户对账单和交易确认 电子账户对账单 美国邮政邮寄书面月对账单‡ 美国邮政邮寄书面季度对账单 美国邮政邮寄书面交易确认 美国邮政邮寄替补书面对账单 美国邮政邮寄替补交易确认	免费 免费：账户清仓价值≥10,000美元 \$2：账户清仓价值<10,000美元 免费：账户清仓价值≥10,000美元 \$2：账户清仓价值<10,000美元 免费 \$5 \$5
转账 整个账户转出 部分账户转出 电汇(转出)国内/国际	\$75 免费 \$25

特殊费用

强制性保证金催缴售出	\$25 + 经纪佣金
外国证券	\$15 + 经纪佣金及所有相关本地市场收费
移除无市场证券	免费
共同基金短期赎回††	\$49.99
另类投资(AI)保管费	每个仓位\$250
另类投资(AI)交易费用	每笔交易\$100
Regulation T延长	\$25
处理受限制证券	\$250
支票/电子注资被退费用	\$25

监管费用††

"Section 31"费用 ¹	\$0.0000221每\$1.00交易所得
期权监管费用 ²	\$0.0169每一张期权合约
交易活动费 ³	每股股票\$0.000119 每张期权合约\$0.002 每张债券\$0.00075 \$0.00000075 x每张资产抵押证券交易价值

所有价格均以美元显示。佣金、利率和费用可能因计划、地点或安排而异并可能提前30天通知您其变更。

† 所有服务费用可能会有所改变。

†† 需要特殊处理的凭证，包括外国证券的凭证以及将凭证分成多个面额的请求，可能会产生额外费用，这些额外费用将转至您的账户。

‡ 某些账户，如期权批准账户，须以电子方式(免费)或通过美国邮政接收每月对账单。

‡‡ 德美利证券交付的监管费用将从客户账户收取，可能在无通知的情况下进行更改。

- 1 "Section 31 费用"向某些卖出交易征收利率，所收取的利率符合1934年证券交易法案Section 31。德美利证券转送这些收费到某些自我监管机构和美国国内证券交易所，由它们付款给美国证监会(SEC)。这些收费用以支付政府产生的成本，包括证监会监督和管理证券市场和证券专业人士。费率会每年或年中调整，德美利证券可能无法马上获悉；因此，收取的费用可能不同于或超出适用于您交易的真正金额。德美利证券可能保留任何超出的部份。费用将按美分四舍五入。
- 2 此费用因期权交易所、期权成交地点、以及负责交易的经纪商是否为特定交易所成员的不同而不同。因此，德美利证券计算混合利率，它将等于或稍微超出送交到期权交易所所需的数额。
- 3 此费用的征收利率符合FINRA交易活动规章Schedule A Section 1。目前利率为：每股受影响股票证券的每次卖出为\$0.000119，每笔交易最高收取\$5.95；每次卖出每张期权合约为\$0.002；卖出每张受TRACE-Eligible影响的债券（有抵押证券除外）及/或市政证券为\$0.00075，每笔交易最高收取\$0.75；\$0.00000075乘以出售有抵押证券的价值(即向TRACE报告的价值)，每笔交易最高收取\$0.75。费用按美分四舍五入。

Standard Retail Pricing: Commissions, Rates, and Fees



Contact Information:

Log in and go to Client Services >
Message Center to contact us

Mailing Address:
PO Box 2760, Omaha, NE 68103-2760

Our low, straightforward online trading commissions let you concentrate on executing your investment strategy...not on calculating fees.

Commissions

Stocks and Exchange Traded Funds (ETFs)

Trade Unlimited Shares (Market or Limit)	Price
Internet	\$0.00*
Interactive Voice Response (IVR) Phone System	\$5.00
Broker-Assisted	\$25.00

***Note:** \$0 commission applies to online U.S. exchange-listed stocks and ETFs. A \$6.95 commission applies to online trades of over-the-counter (OTC) stocks which includes stocks not listed on a U.S. exchange.

Orders executed in multiple lots on the same trading day will be charged a single commission. When an order is partially executed over multiple trading days, the order is subject to a separate commission charge for each trading day.

TD Ameritrade receives remuneration from certain ETFs that participate in the commission-free ETF program for shareholder, administrative, and/or other services.

Mutual Funds

Fund Type	Price
No-Transaction-Fee (NTF) Funds	No Commission
No-Load Funds	\$49.99
Load Funds	No Commission*

*The Fund Family will charge fees as detailed in the fund prospectuses.

No-transaction-fee (NTF) funds (except ProFunds and Rydex) held 180 days or less are subject to a Short-Term Redemption fee of \$49.99. This fee is in addition to any applicable transaction fees or fees addressed in the fund's prospectus.

TD Ameritrade receives remuneration from mutual fund companies, including those participating in its no-load, NTF program for recordkeeping, shareholder services, and other administrative and distribution services. The amount of TD Ameritrade's remuneration for these services is based in part on the amount of investments in such funds by our clients. NTF mutual funds and other funds offered through TD Ameritrade have other fees and expenses that apply to a continued investment in the fund and are described in the prospectus.

Options

Equity or Index, Market, and Limit Orders	Price
Internet	\$0.00 + \$0.65 fee per contract
Interactive Voice Response (IVR) Phone System	\$5.00 + \$0.65 fee per contract
Broker-Assisted	\$25.00 + \$0.65 fee per contract

Please note: No exercise or assignment fees. Options involve risks and are not suitable for all investors.

Index Option Fee

Underlying Symbol	Description	Premium	Expiration	Fee Per Contract
SPX	S&P 500 Index	<\$1	Monthly	\$0.57
SPX	S&P 500 Index	>=\$1	Monthly	\$0.66
SPXW	S&P 500 Index	<\$1	Weekly/Quartely	\$0.49
SPXW	S&P 500 Index	>=\$1	Weekly/Quartely	\$0.58
OEX	S&P 100 Index (American-style exercise)	All	Monthly/Quartely	\$0.40
OEX	S&P 100 Index (American-style exercise)	All	Weekly	\$0.30
XEO	S&P 100 Index (European-style exercise)	All	Monthly/Quartely	\$0.40
XEO	S&P 100 Index (European-style exercise)	All	Weekly	\$0.30
*VIX - Simple	CBOE Market Volatility Index	<=\$0.10	All	\$0.10
*VIX - Complex	CBOE Market Volatility Index	<=\$0.10	All	\$0.05
*VIX - Simple	CBOE Market Volatility Index	\$0.11-\$0.99	All	\$0.25
*VIX - Complex	CBOE Market Volatility Index	\$0.11-\$0.99	All	\$0.17
*VIX - Simple	CBOE Market Volatility Index	\$1-\$1.99	All	\$0.40
*VIX - Complex	CBOE Market Volatility Index	\$1-\$1.99	All	\$0.30
*VIX - Simple	CBOE Market Volatility Index	>=\$2	All	\$0.45
*VIX - Complex	CBOE Market Volatility Index	>=\$2	All	\$0.45
RUT	Russell 2000 Index	All	All	\$0.18

Please note: The Index Option Fees are fees associated with Proprietary Index Option products provided by the Chicago Board Options Exchange (CBOE) and are subject to change without notice. A copy of the CBOE Options Exchange Fee Schedule can also be found on www.cboe.com.

*After 04/01/2020 the VIX index option fee assessed to the TD Ameritrade account will be distinguished between Simple and Complex. Prior to that date, the lower of the 2 fees will be charged.

Simple Options: Buy or sell an option with only one strike price and expiration date.

Complex Options: Simultaneously buy and/or sell two or more options with more than one strike price and/or expiration date.

Bonds and CDs

Product Type	Price
Treasuries at Auction	Available Commission Free
Bond and CD Trades	On a net yield basis

TD Ameritrade may act as principal on any fixed-income transaction. When acting as principal, we will add a markup to any purchase, and subtract a markdown from every sale. This markup or markdown will be included in the price quoted to you.

Cash Sweep Vehicles Interest Rates**

TD Ameritrade FDIC Insured Deposit Account (IDA) Rates

For current rates, please call 800-669-3900 or go to the TD Ameritrade website at: <https://www.tdameritrade.com/pricing/margin-and-interest-rates.page>. Subject to change without prior notice.

TD Ameritrade Cash Rates

For current rates, please call 800-669-3900 or go to the TD Ameritrade website at: <https://www.tdameritrade.com/pricing/margin-and-interest-rates.page>. Subject to change without prior notice.

**Interest rates paid on balances in the IDA and TD Ameritrade Cash are based on tiers. The previous day's closing balance determines eligibility for a particular tier each day. Simple interest is accrued daily, based on the interest rate tier applicable to each day's balance, and is credited to your account on the last business day of the month. In accordance with the Client Agreement, TD Ameritrade may change the tiers and rates at its discretion and without notice and is not obligated to pay different rates between tiers. Rate changes may vary by program, location, or arrangement.

TD Ameritrade receives a fee from the IDA Program Banks that ranges from 1.3 to 1.7%. TD Ameritrade has the right to waive all or part of this fee. The rate of the fee that TD Ameritrade receives may exceed the interest rate or effective yield that you receive in your IDA, and the payment of the fee reduces the yield that you receive. Other than the applicable fees charged on brokerage accounts, there will be no charges, fees, or commissions imposed on your account for this cash sweep choice. The current IDA interest rate will be disclosed on the TD Ameritrade website and may be changed without prior notice.

Fee Schedule

Service Fees[†]

Certificate Withdrawal Delivery ^{††}	\$500
Checking	
Checkbook Reorder	No charge/100, IRA No charge/20
Copy of Check	\$15
Miscellaneous	
Overnight Delivery	Billed at cost
Stop Payment	\$25
Reorganization	
Mandatory	\$38
Voluntary Tender Offer	\$38
Statements and Trade Confirmations	
Electronic Statements	No charge
Paper Monthly Statements by U.S. Mail [‡]	No charge: accounts with liquidation value ≥ \$10k \$2: accounts with liquidation value < \$10k
Paper Quarterly Statements by U.S. Mail	No charge: accounts with liquidation value ≥ \$10k \$2: accounts with liquidation value < \$10k
Paper Trade Confirmations by U.S. Mail	No charge
Replacement Paper Statements by U.S. Mail	\$5
Replacement Paper Trade Confirmations by U.S. Mail	\$5
Transfers	
Outbound Full Account Transfer	\$75
Outbound Partial Account Transfer	No Charge
Wires (Outgoing) Domestic/International	\$25

Exception Fees

Forced Margin Sellout	\$25 + broker commission
Foreign Security	\$15 + broker commission and all applicable local market charges
Removal of Non Marketable Security	No charge
Mutual Fund Short-Term Redemption Fee ^{‡‡}	\$49.99
Alternative Investments (AI) Custody Fee	\$250 per position
Alternative Investments (AI) Transaction Fee	\$100 per transaction
Regulation T Extension	\$25
Restricted Security Processing	\$250
Returned Check/Electronic Funding	\$25

Regulatory Fees^{‡‡}

“Section 31” Fee ¹	\$0.0000221 per \$1.00 of transaction proceeds
Options Regulatory Fee ²	\$0.0169 per options contract
Trading Activity Fee ³	\$0.000119 per equity share \$0.002 per options contract \$0.00075 per bond \$0.00000075 x value per asset-backed security trade

All prices shown in U.S. dollars. Commission, rates, and fees may vary by program, location, or arrangements and are subject to change upon 30 days' notice to you.

[†] All service fees are subject to change.

^{††} Certificate requests requiring extraordinary processing, including certificates for foreign securities and requests to break certificates into multiple denominations, may incur additional charges, which will be passed through to your account.

[‡] Some accounts, such as options-approved accounts, are required to receive monthly statements either electronically (free) or via U.S. mail.

^{‡‡} Regulatory fees are paid by TD Ameritrade and charged to client accounts and are subject to change without notice.

- 1 Applies to certain sell transactions, assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934. TD Ameritrade remits these fees to certain self-regulatory organizations and national securities exchanges, which in turn make payment to the SEC. These fees are intended to cover the costs incurred by the government, including the SEC, for supervising and regulating the securities markets and securities professionals. The rate is subject to annual and mid-year adjustments which may not be immediately known to TD Ameritrade; as a result, the fee assessed may differ from or exceed the actual amount of the fee applicable to your transaction. Any excess may be retained by TD Ameritrade. Fees are rounded to the nearest penny.
- 2 This fee varies by options exchange, where an options trade executes, and whether the broker responsible for the trade is a member of a particular exchange. As a result, TD Ameritrade calculates a blended rate that equals or slightly exceeds the amount it is required to remit to the options exchanges.
- 3 This fee is assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws for trading activity. Current rates are: \$0.000119 per share for each sale of a covered equity security, with a maximum charge of \$5.95 per trade; \$0.002 per contract for each sale of an option; \$0.00075 per bond for each sale of a covered TRACE-Eligible Security (other than an Asset-Backed Security) and/or municipal security, with a maximum charge of \$0.75 per trade; \$0.00000075 times the value, as reported to TRACE, of a sale of an Asset-Backed Security, with a maximum charge of \$0.75 per trade. Fees are rounded to the nearest penny.

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

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